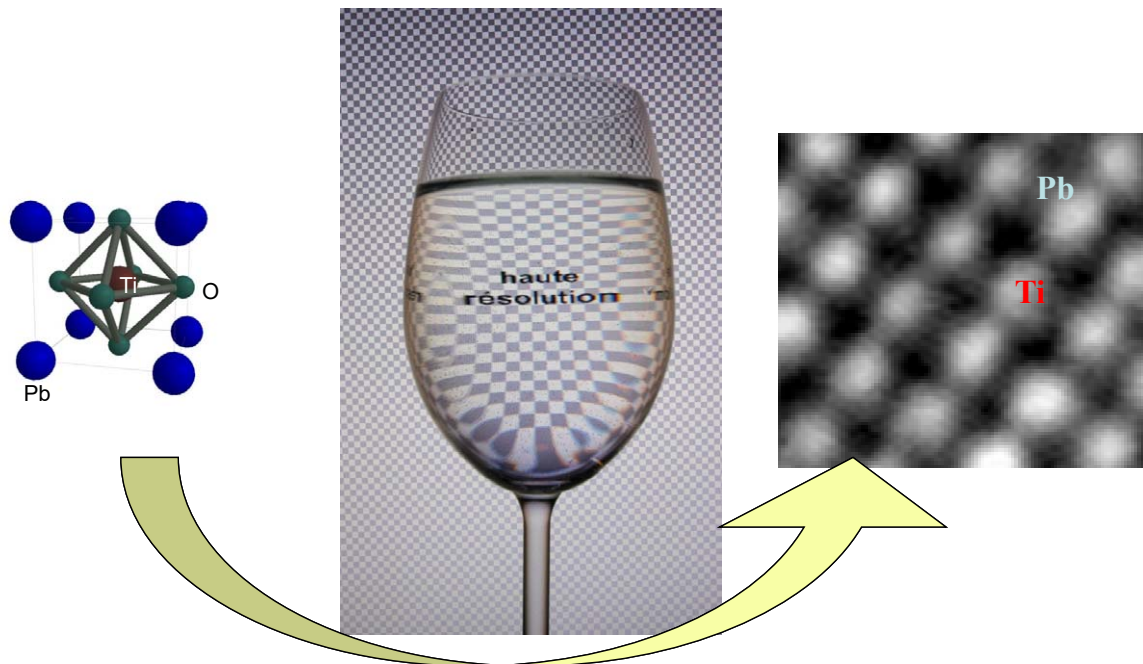


High Resolution TEM

- K. Ishizuka (1980) "**Contrast Transfer of Crystal Images in TEM**", Ultramicroscopy 5, pages 55-65.
- L. Reimer (1993) "**Transmission Electron Microscopy**", Springer Verlag, Berlin.
- J.C.H. Spence (1988), "**Experimental High Resolution Electron Microscopy**", Oxford University Press, New York.



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Experimental Methods in Physics

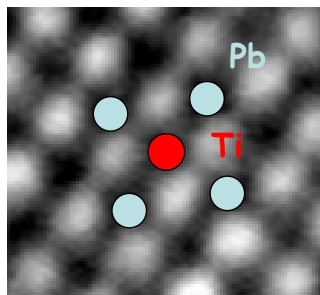
Marco Cantoni



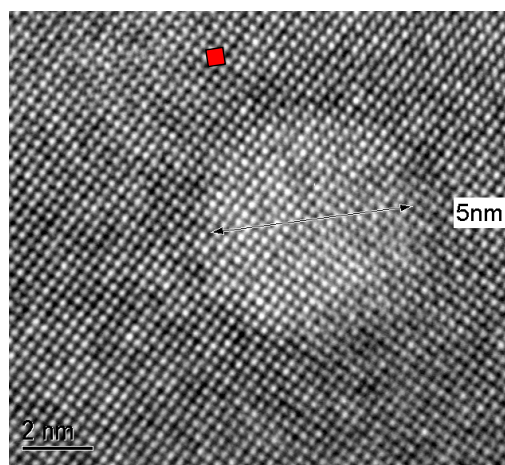
High resolution....?!

electron at 300keV:

- $v = 2.33 \cdot 10^{-8} \text{ m/s}$
(0.78 c)
- $\lambda = 0.00197 \text{ nm}$
- $\theta_{\text{diff}} = 10^{-3} \text{ rad}$



Atomic resolution...?



Precipitate in a ceramic: PbTiO_3

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Experimental Methods in Physics

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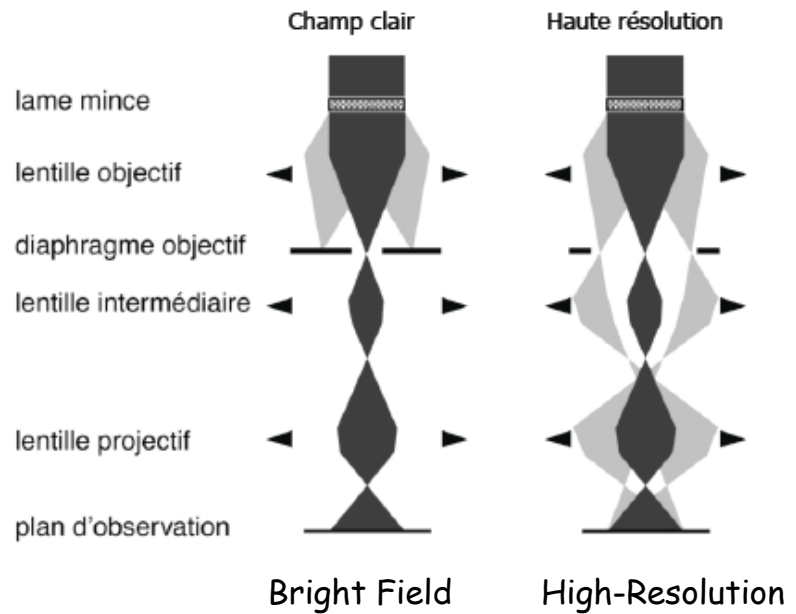


the TEM in "high-resolution" mode

A high-resolution image is an interference image of the transmitted and the diffracted beams!

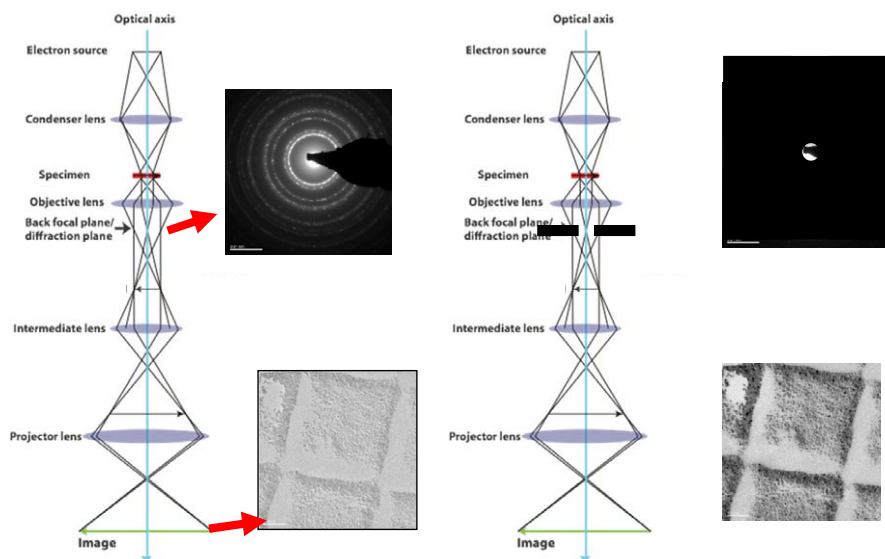
Diffracted electrons: coherent elastic scattering (the electrons have seen the crystal lattice)

The quality of the image depends on the optical system that makes the beams interfere

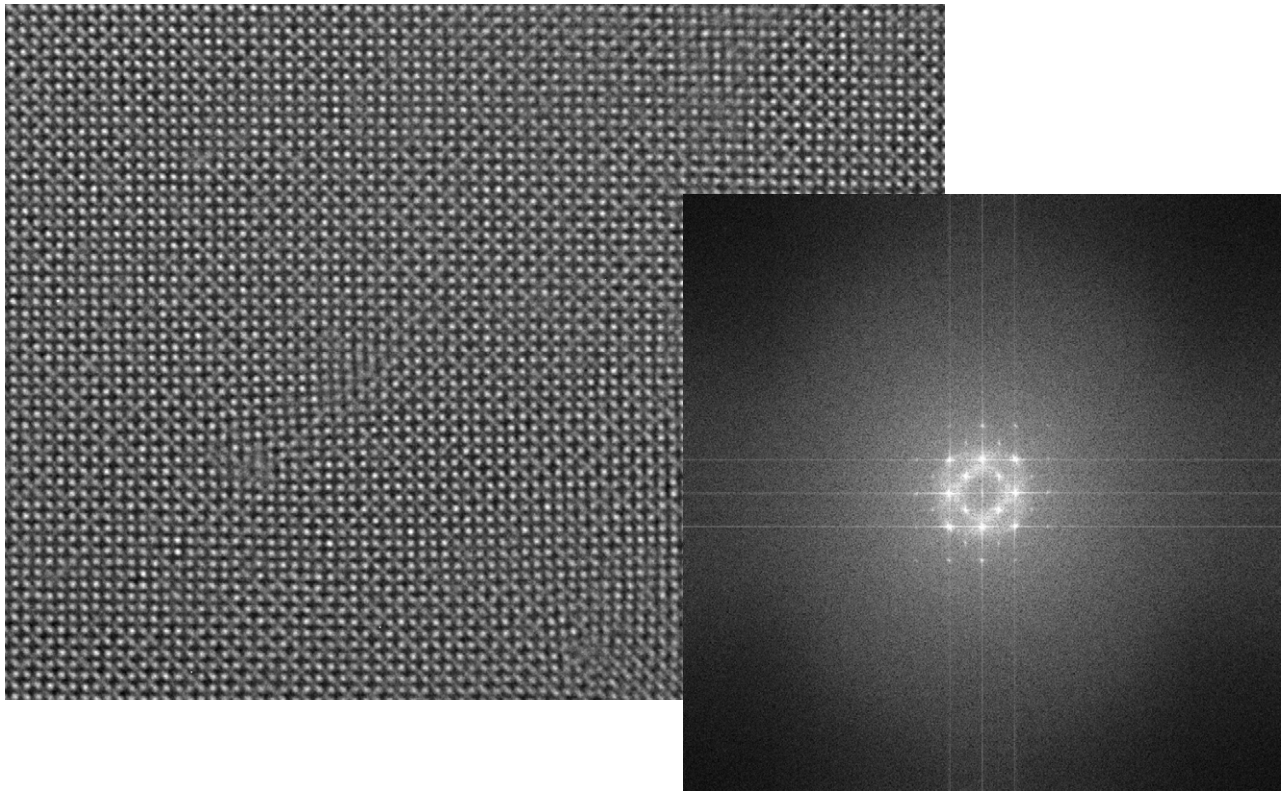


Bright Field Imaging

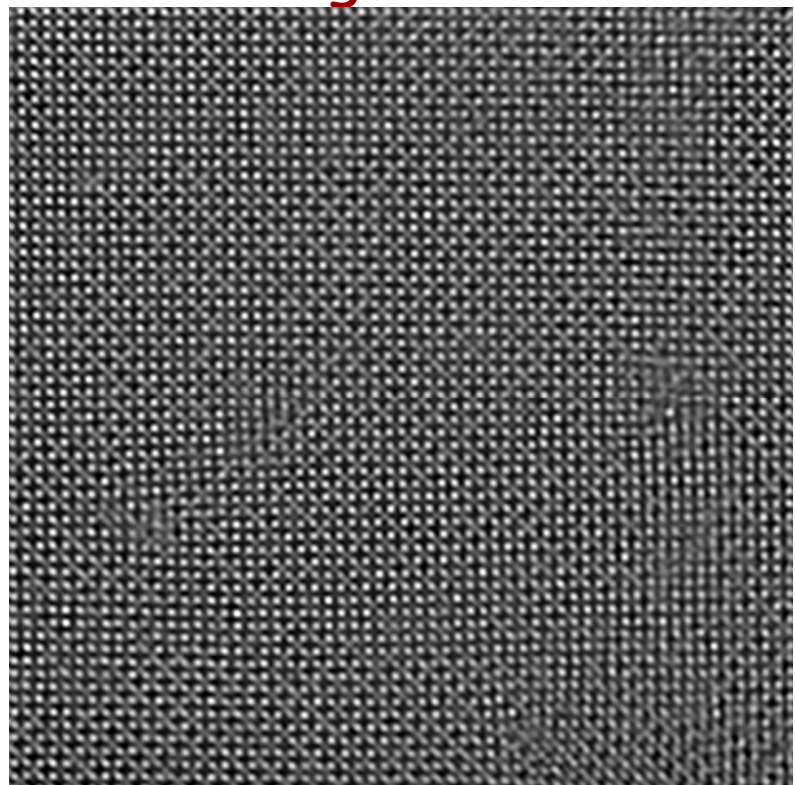
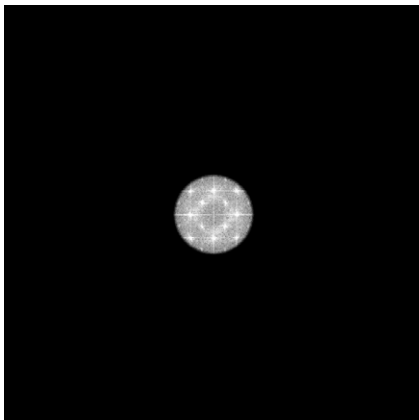
Diffraction Contrast, Au (nano-) particles on C film

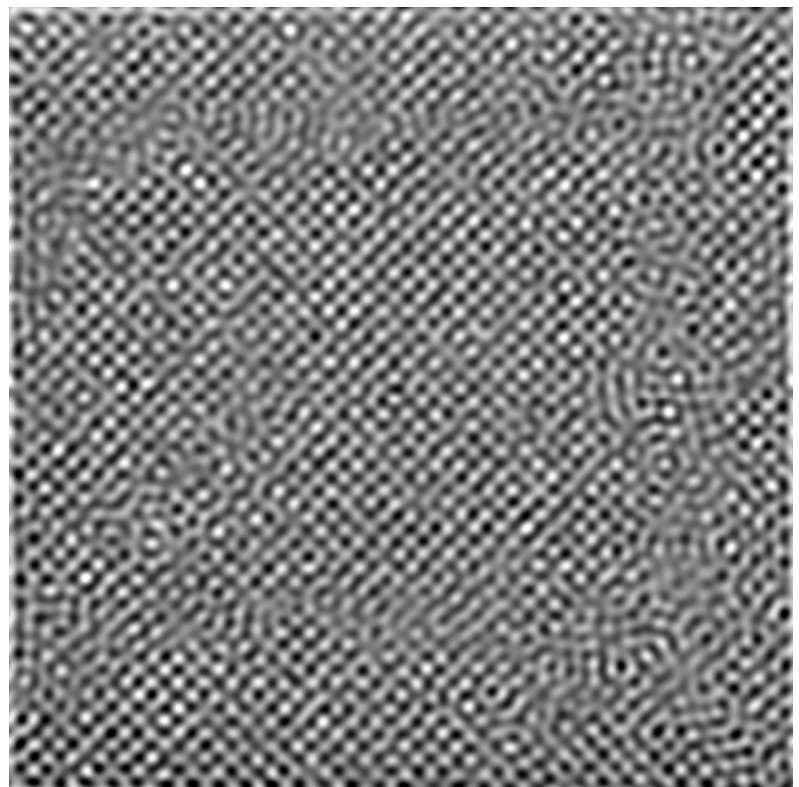
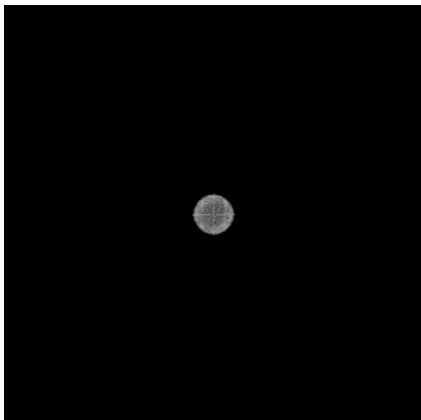
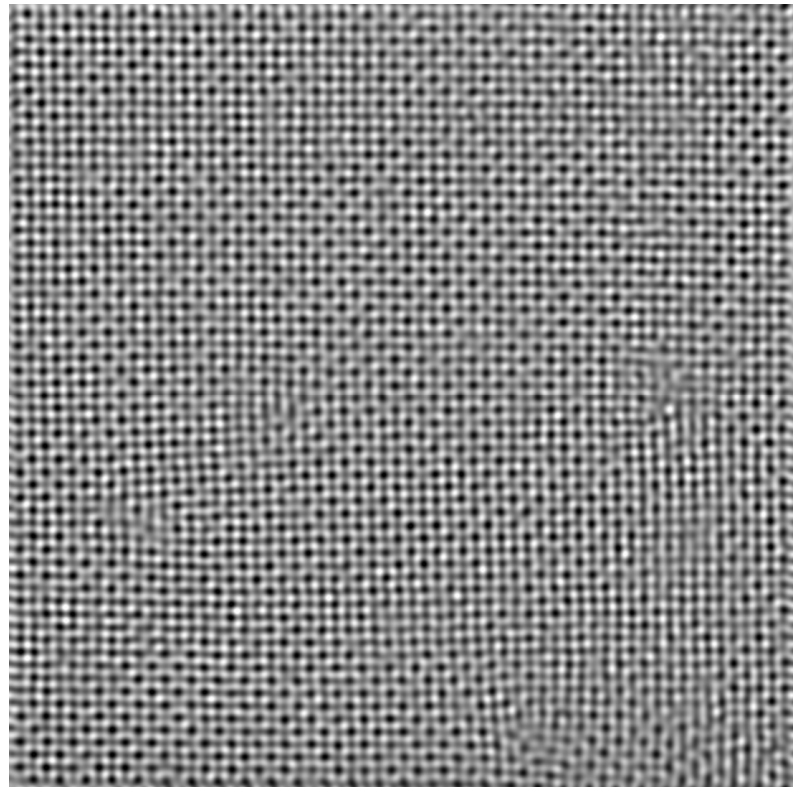
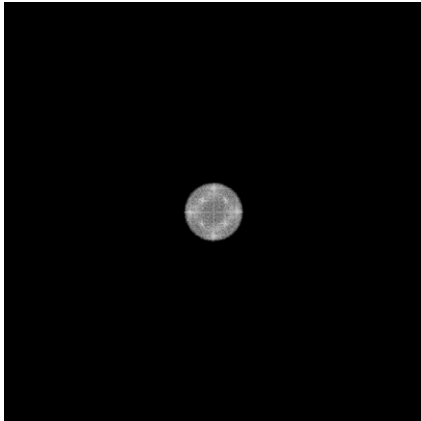


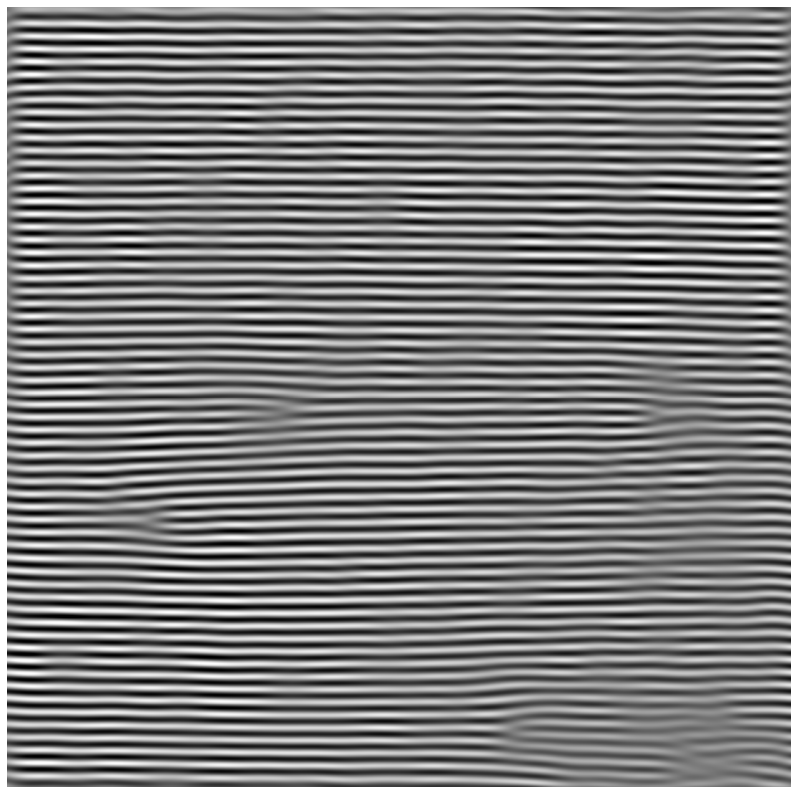
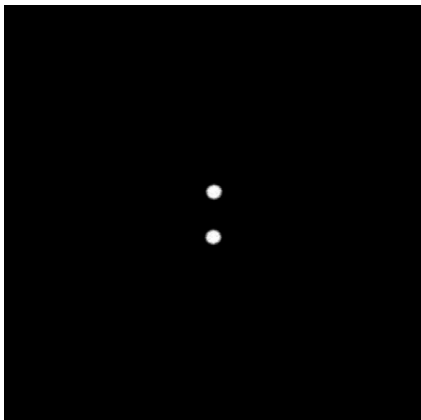
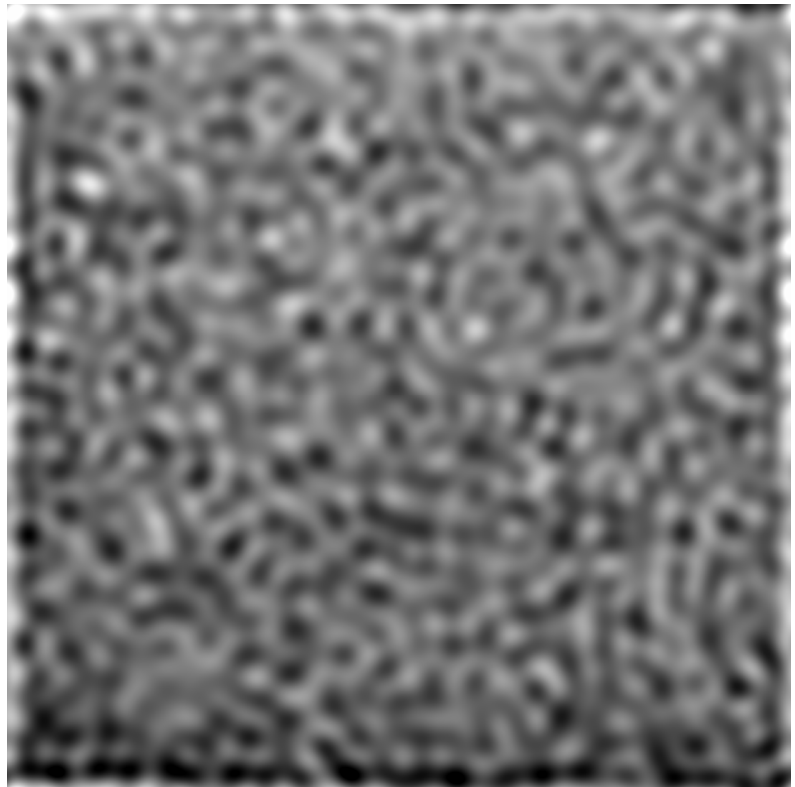
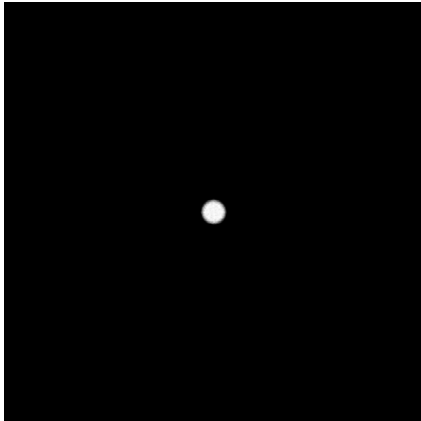
High-resolution image and its FFT

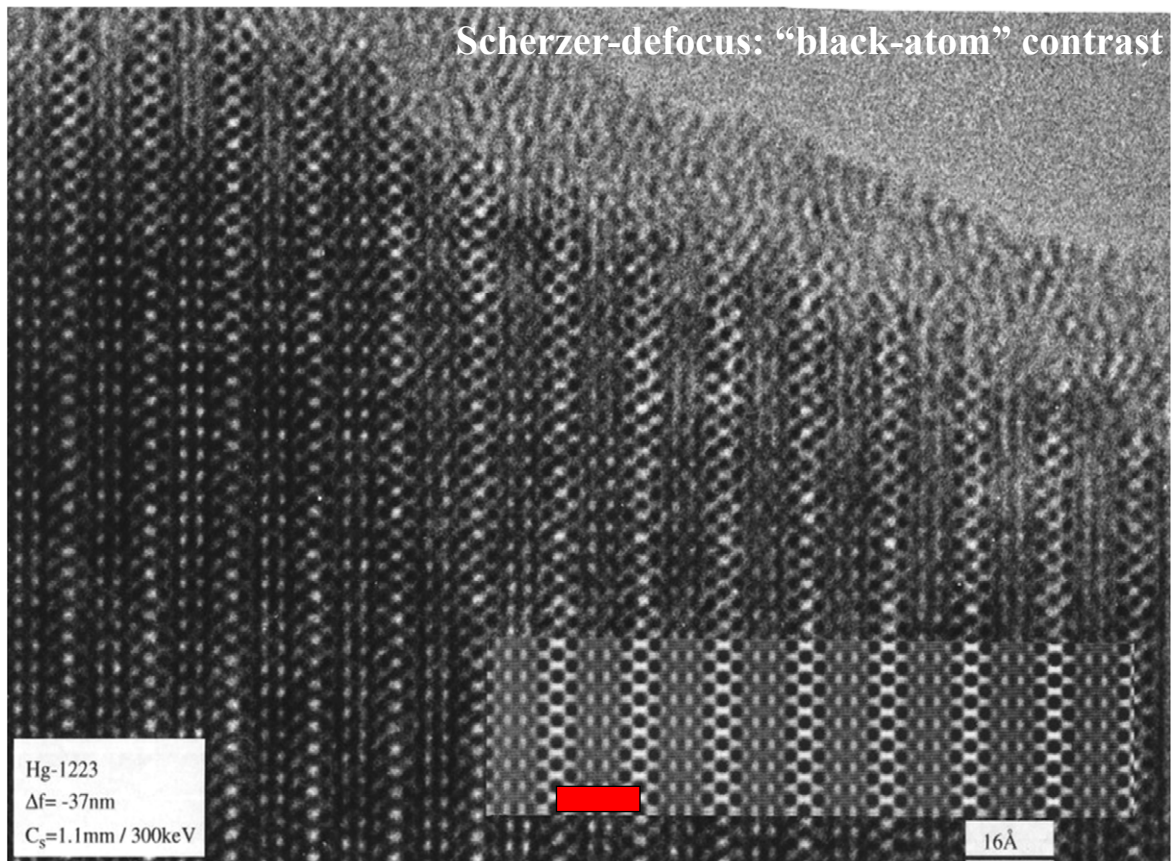
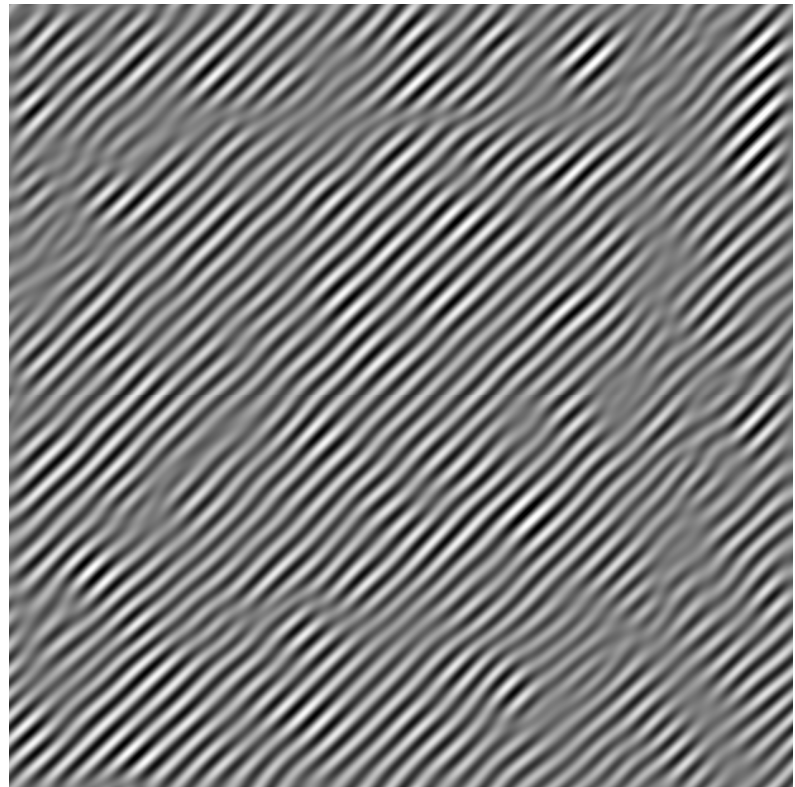
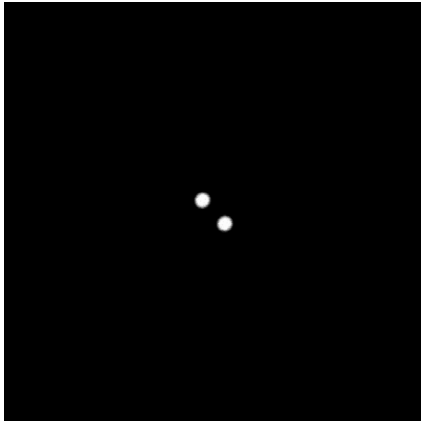


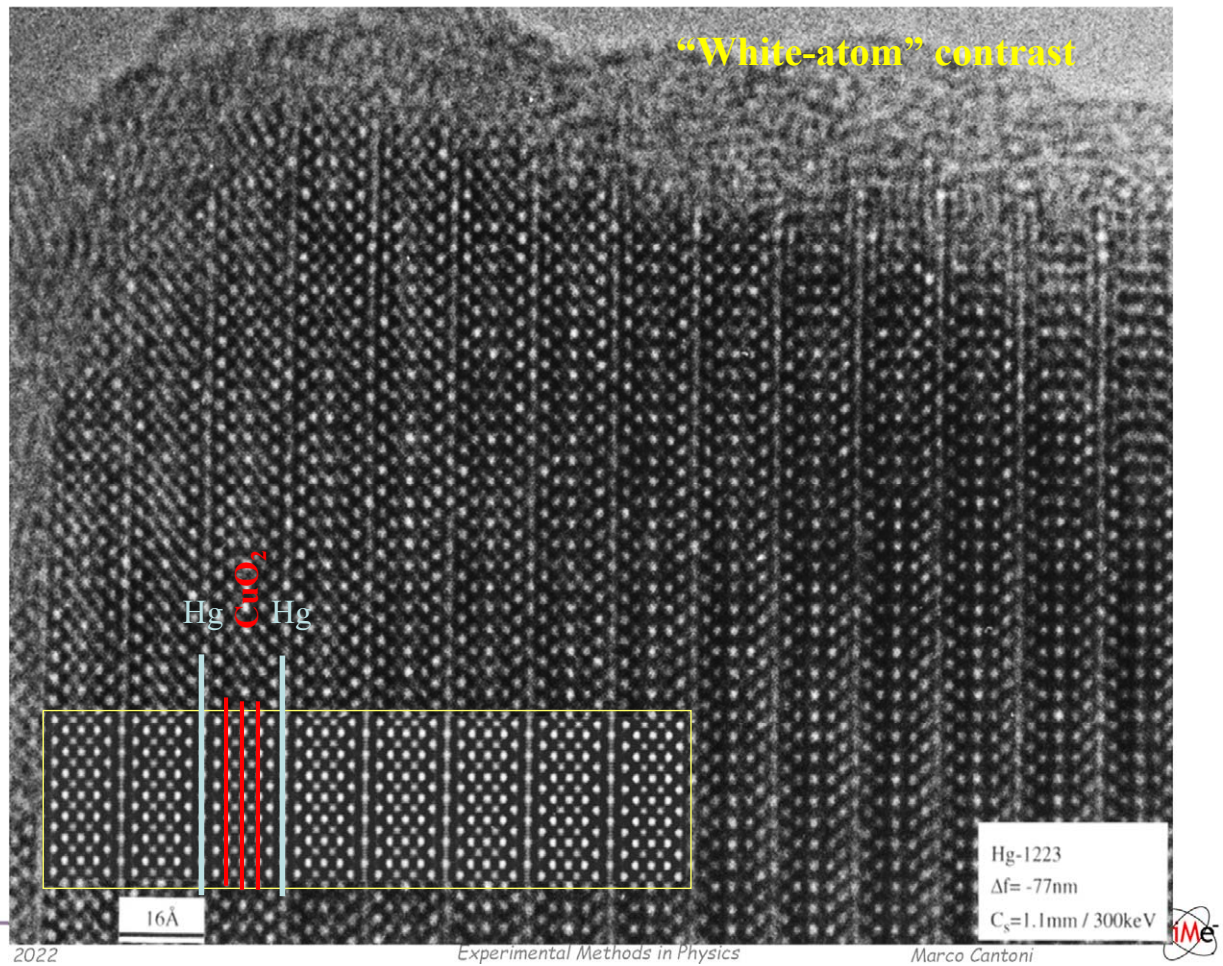
FFT filtering





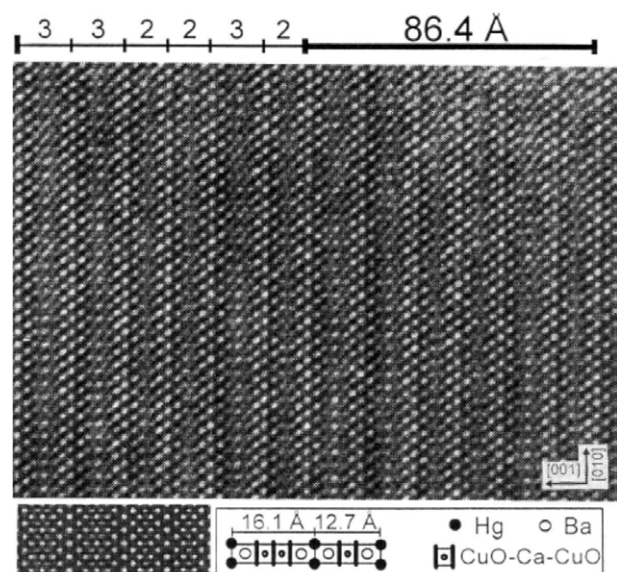






High-resolution

- The image should resemble the atomic structure !
- Atoms...?
Thin samples: atom columns:
orientation of the sample (incident beam // atom columns)
- The observed contrast varies with thickness and defocalisation...!
- Need to compare with simulations !

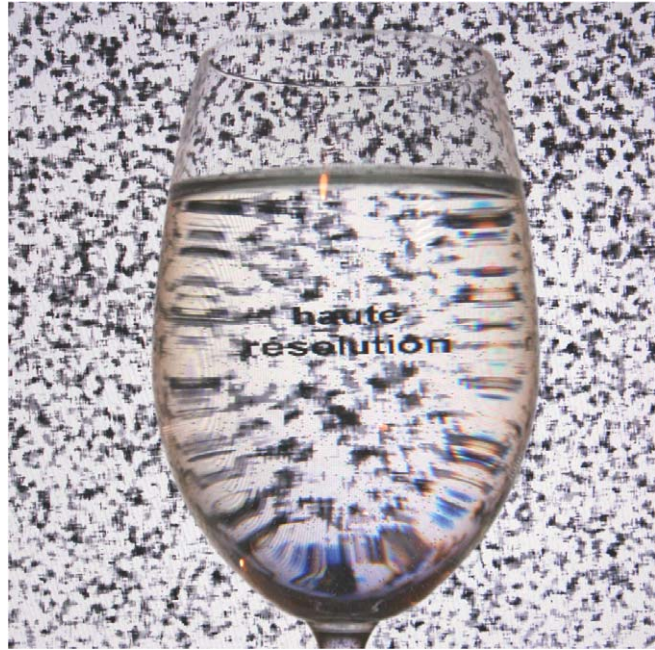


The objective lens

- Field with rotational symmetry
- Lorentz Force : $\mathbf{F} = -e \mathbf{v} \wedge \mathbf{B}$
 e on optical axis: $\mathbf{F} = 0$
 e not on optical axis : deviated
 optical axis: symmetry axis
- Scherzer 1936:**
- Magnetic lens with rotational symmetry:
 Aberration coefficients:
 C_s : spherical
 C_c : chromatical
 - Always positive !!

$$C_s = \frac{1}{16} \int_{z_0}^z \{b^4 h^4 + 2(hb' + h'b)^2 h^2 + 2b^2 h^2 h'^2\} dz$$

$$C_c = \frac{1}{4} \int_{z_0}^z b^2 h^2 dz$$



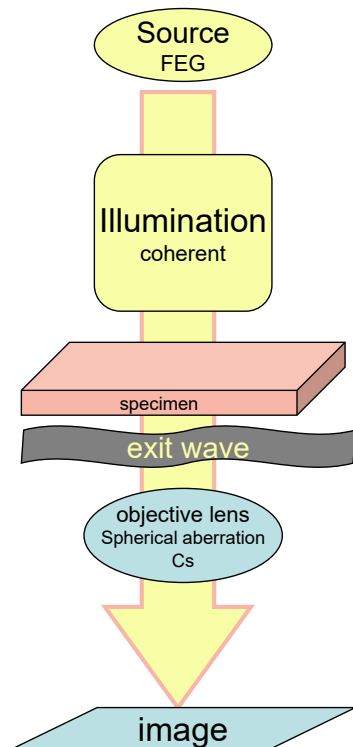
Example:

$$\lambda = 0.00197 \text{ nm}, C_s = 1 \text{ mm}$$

$$D_{\text{res}} = 1.8 \cdot 10^{-10} = 1.8 \text{ \AA}$$

Resolution limit: $D_{\text{res}} = 0.66 \lambda^{3/4} C_s^{1/4}$

Image formation



- Illumination**

$$\Psi(\vec{r}) = \Psi_0 \exp^{2\pi i \vec{k} \cdot \vec{r}}$$

- Sample**

$$\Psi_o(\vec{x}) = \exp[-i\sigma V_p(\vec{x}; z)] \cong 1 - i\sigma V_p(\vec{x}; z)$$

- objective lens**

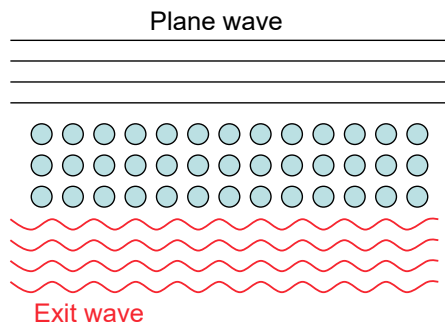
$$T(\vec{h}) = \exp[2\pi i \chi(\vec{h})] \quad \text{avec} \quad \chi(\vec{h}) = 0.25 C_s \lambda^3 h^4 + 0.5 \Delta z \lambda h^2$$

$$\Psi_i(\vec{x}) = \Psi_o(\vec{x}) \otimes T(\vec{x})$$

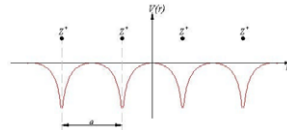
- Image, contrast**

$$I_i(\vec{x}) = \Psi_i(\vec{x}) \Psi_i^*(\vec{x})$$

sample = phase object



Cristal potential



Cristal potential = phase object

Wave vector in vacuum:

$$k = \sqrt{2me(E)/\hbar^2}$$

Wave vector in a potential:

$$k = \sqrt{2me(E + V(\vec{r}))/\hbar^2}$$

Phase shift $\Delta\alpha$ due to the cristal potential V_p :

$$\Delta\alpha = \frac{\sigma}{2\pi} V_p(\vec{x}, z)$$

$$\sigma = \pi/\lambda E$$

Exit wave function:

$$\Psi_o(\vec{x}) = \exp[-i\sigma V_p(\vec{x}; z)]$$

WPOA

- Weak phase object approximation:

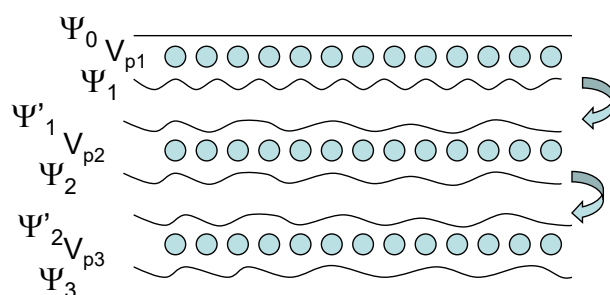
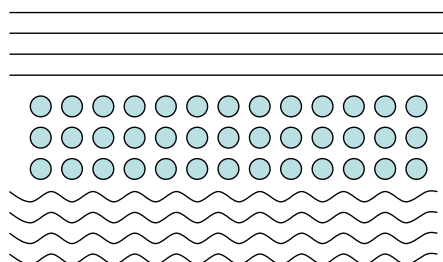
$$\Psi_o(\vec{x}) = \exp[-i\sigma V_p(\vec{x}; z)] \cong 1 - i\sigma V_p(\vec{x}; z)$$

No absorption, effect of the object on the outgoing wave: only phase shift

The exit wave function contains the information about the structure of the sample

Multi-slice calculation:

Calculation of the exit wave function for complex structures:



The sample is cut into thin slices

Transfer Function

- The optical system (lenses) can be described by a convolution with a transfer function $T(\underline{x})$:
Point spread function (PSF): describes how a point on the object side is transformed into the image.

$$\Psi_i(\underline{x}) = \int_{-\infty}^{\infty} \Psi_o(\underline{u}) T(\underline{x} - \underline{u}) d\underline{u} = \Psi_o(\underline{x}) \otimes T(\underline{x})$$

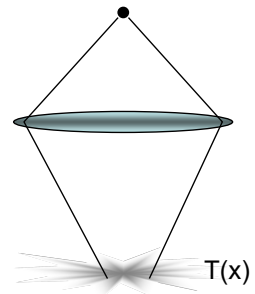
- Transfer Function:**
describe how an "object" wave-function is transformed into an "image" wave-function

$$\Psi_i(\underline{h}) = \Psi_o(\underline{h}) T(\underline{h})$$

- The image INTENSITY** observed on a screen (or a camera / negative plate etc.)

$$I_i(\underline{x}) = \Psi_i(\underline{x}) \Psi_i^*(\underline{x}) \quad I_i(\underline{h}) = \Psi_i(\underline{h}) \otimes \Psi_i^*(-\underline{h}) = \int \Psi_i(\underline{h}') \Psi_i^*(\underline{h} - \underline{h}') d\underline{h}'$$

$$I_i(\underline{h}) = [\Psi_o(\underline{h}) T(\underline{h})] \otimes [\Psi_o^*(-\underline{h}) T^*(-\underline{h})]$$



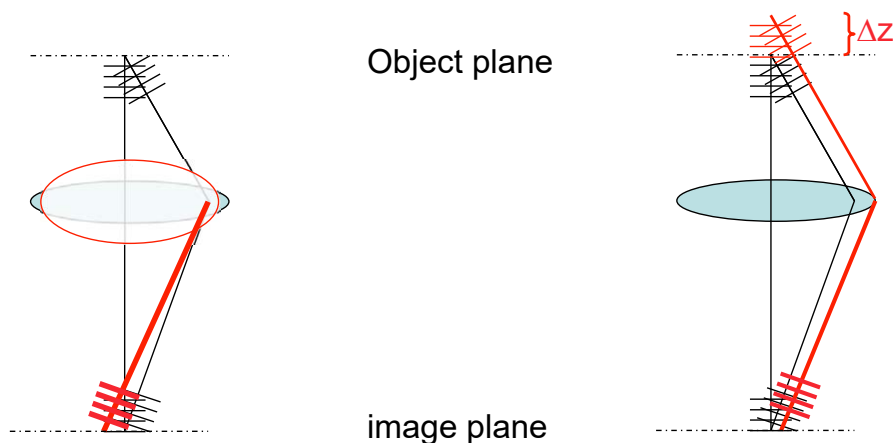
Coherent Transfer Function (CTF) of magnetic lens

$$T(\vec{h}) = \exp[2\pi i \chi(\vec{h})]$$

$$\chi(\vec{h}) = 0.25 C_s \lambda^3 h^4 + 0.5 \Delta z \lambda h^2$$

Spherical aberration

defocus



The « magic » of image contrast

Objet de Phase Faible (Weak Phase Object)

$$\Psi_o(\underline{x}) = \exp[-i\sigma V_p(\underline{x};z)] \cong 1 - i\sigma V_p(\underline{x};z)$$

$$\Psi_o(\underline{h}) = \delta(\underline{h}) - i\sigma V_p(\underline{h})$$

$$\Psi_i(\underline{h}) = \Psi_o(\underline{h})T(\underline{h}) = \Psi_o(\underline{h})\exp[2\pi i\chi(\underline{h})]$$

$$\Psi_i(\underline{h}) = [\delta(\underline{h}) - i\sigma V_p(\underline{h})][\cos 2\pi\chi(\underline{h}) + i\sin 2\pi\chi(\underline{h})]$$

Fourier Space

En choisissant $\sin[2\pi\chi(\underline{h})] = -1$ et $\cos[2\pi\chi(\underline{h})] = 0$ pour les réflexions $\underline{h}$ les plus intenses, $\Psi_i(\underline{h})$

Fonction d'onde image

$$\Psi_i(\underline{h}) = \delta(\underline{h}) - \sigma V_p(\underline{h})$$

L'intensité de l'image ($\Psi_i(\underline{x}) \Psi_i^*(\underline{x})$) est alors donnée par :

Intensité de l'image de l'objet faible en contraste direct

$$I(\underline{x}) = (1 - \sigma V_p(\underline{x}))(1 + \sigma V_p(\underline{x})) = 1 - 2\sigma V_p(\underline{x}) + O(\sigma^2 V_p^2(\underline{x}))$$

but....

En choisissant $\sin[2\pi\chi(\underline{h})] = 0$ et $\cos[2\pi\chi(\underline{h})] = 1$, l'intensité de l'image ($\Psi_i(\underline{x}) \Psi_i^*(\underline{x})$) est puisque $\Psi_i(\underline{h})$ égale:

Fonction d'onde image

$$\Psi_i(\underline{h}) = [\delta(\underline{h}) - i\sigma V_p(\underline{h})]$$

Intensité de l'image de l'objet faible en contraste inverse

$$I(\underline{x}) = (1 - i\sigma V_p(\underline{x}))(1 + i\sigma V_p(\underline{x})) = 1 + \sigma^2 V_p^2(\underline{x})$$

L'intensité est reliée linéairement au carré du potentiel projeté: *l'interprétation en terme de colonnes atomiques et de canaux n'est plus aussi directe.*

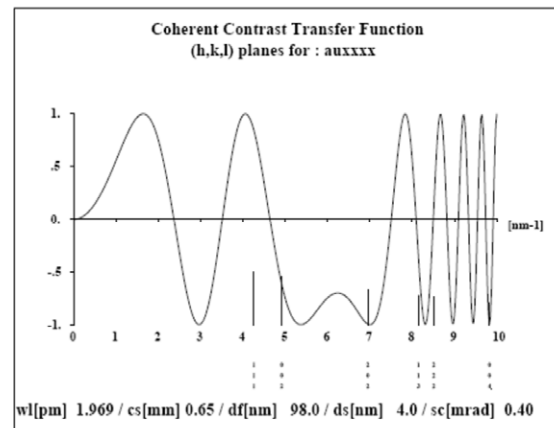
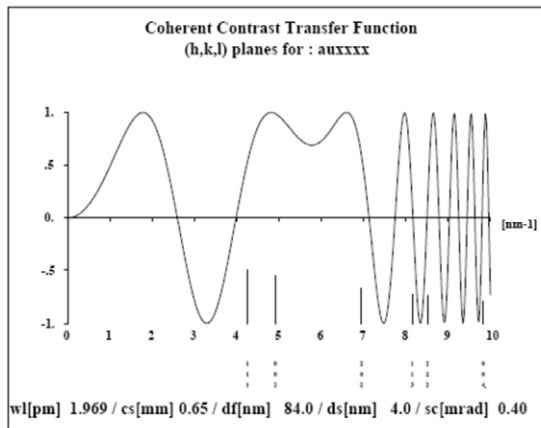
For a direct and simple interpretation of the image contrast:
the imaginary part (sin) of the transfer function $\exp[2\pi i\chi(h)]$ should be ~ 1

The only free parameter in the microscope is: the defocus Δz

CTF

- CTF: contrast transfer function
(« useful » = V_p)

$$CTF(k) = -\sin\left[\frac{\pi}{2}C_s\lambda^3k^4 + \pi\Delta z\lambda k^2\right]$$



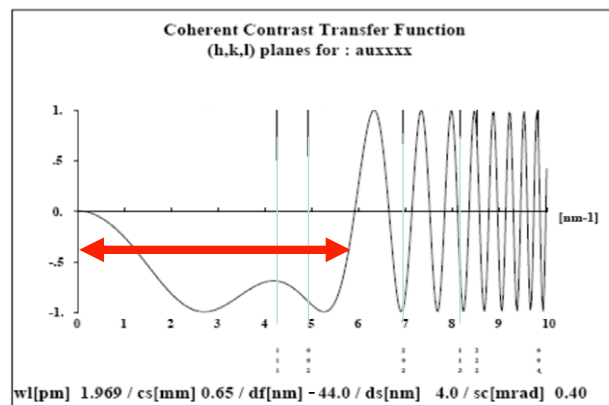
Scherzer defocus

With $\Delta z_{\text{Scherzer}}$

$$\Delta z = -\sqrt{\frac{4}{3}}C_s\lambda$$

The CTF has a wide pass band

$$D_{\text{Scherzer}} = 0.66\lambda^{3/4}C_s^{1/4}$$



The first **zero crossing** of the CTF defines the « **point-to-point** » resolution of an electron microscope

The atom columns appear as dark areas on a bright background

$$I(\underline{x}) = (1 - \sigma V_p(\underline{x}))(1 + \sigma V_p(\underline{x})) = 1 - 2\sigma V_p(\underline{x}) + O(\sigma^2 V_p^2(\underline{x}))$$

CTF & spatial and temporal coherence « envelopes »

$$T(\vec{h}) = a(\vec{h}) \exp[2\pi i \chi(\vec{h})] E_s(\vec{h}) E_t(\vec{h})$$

CM300UT FEG

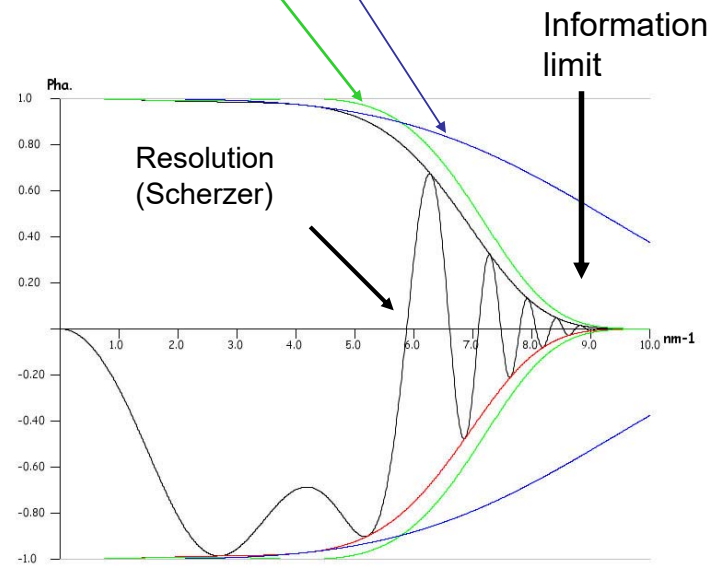
Field emission

C_s : 0.7mm

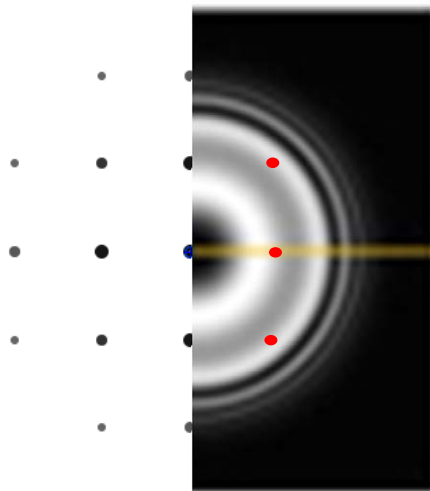
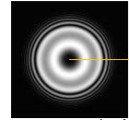
Δz = 44nm

Résolution (point to point): 1.7Å

Information limite : ~1.2Å



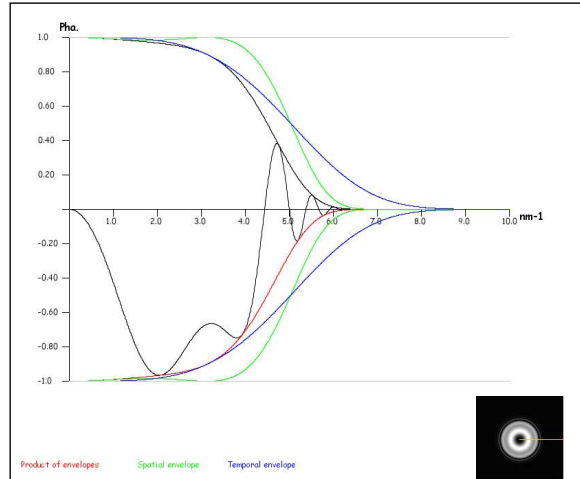
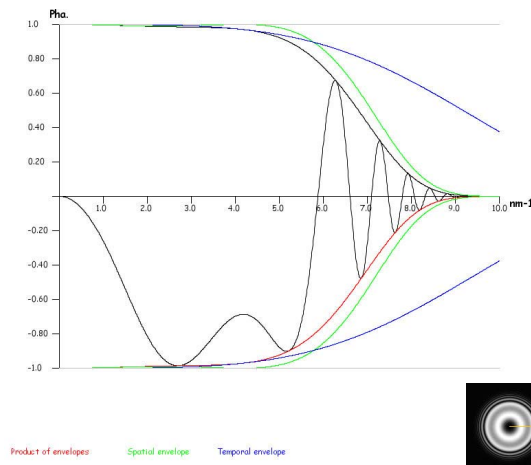
Product of envelopes: Spatial envelope: Temporal envelope



A good microscope

CM300UT FEG
Emmission à effet de champs
 C_s : 0.7mm
 Δz = 44nm

Résolution (point à point): 1.7Å
Limite d'information: $\sim 1.2\text{\AA}$



CM30ST LaB6
Emmission thermionique
 C_s : 2mm
 Δz = 76nm

Résolution (point à point): 2.1Å
Limite d'information: $\sim 1.9\text{\AA}$

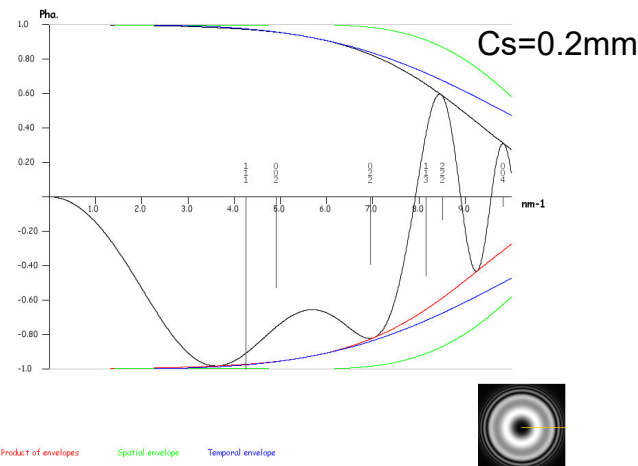
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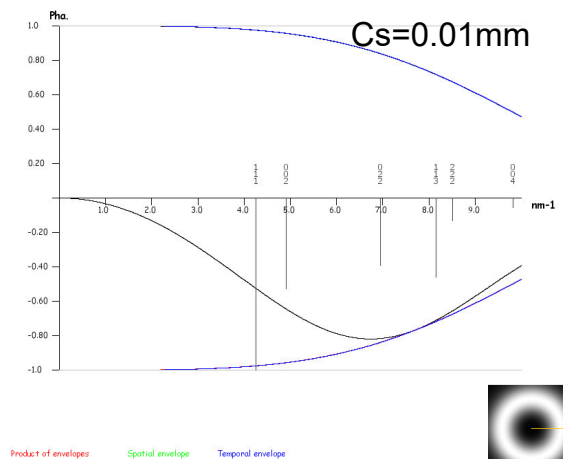
Marco Cantoni



Super microscopes.....



Dilemma: $C_s=0\text{mm}$: no more contrast....



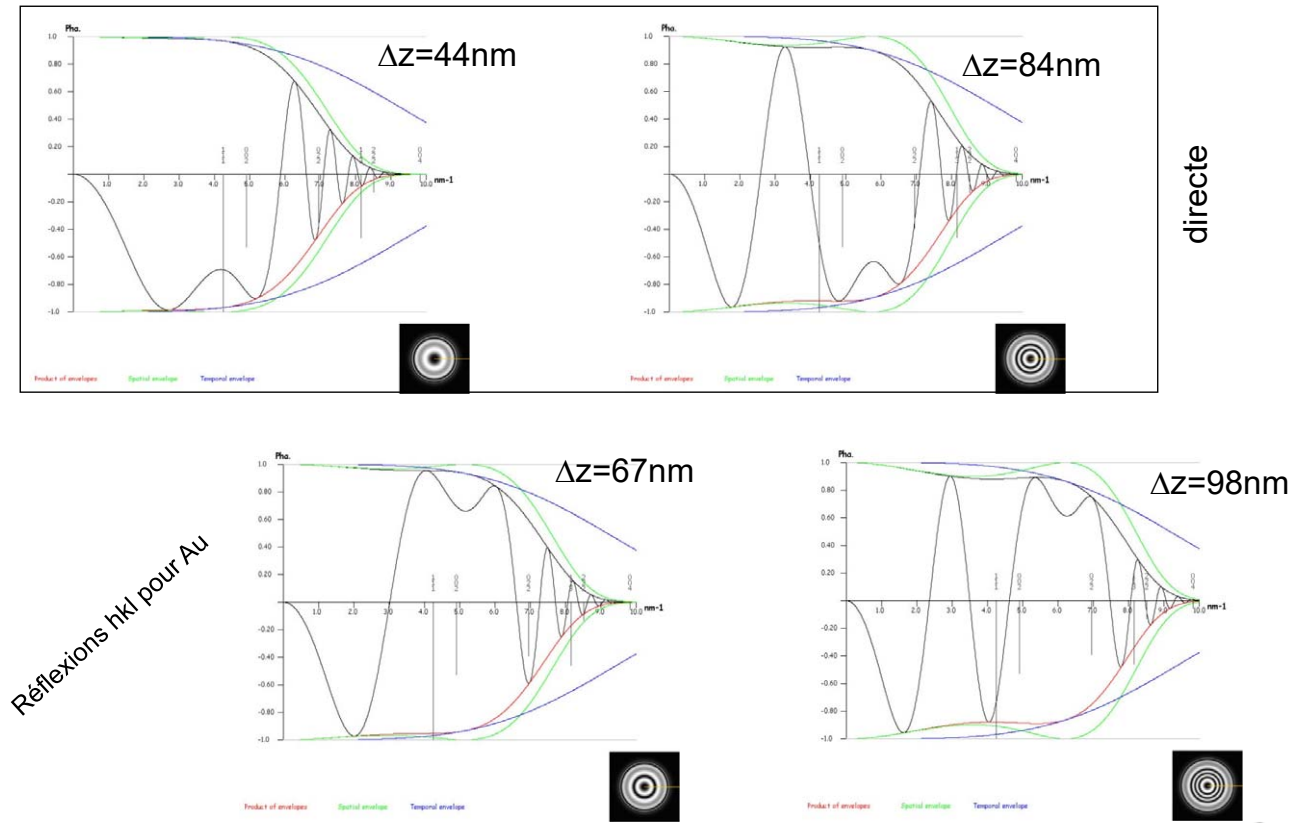
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Pass bands



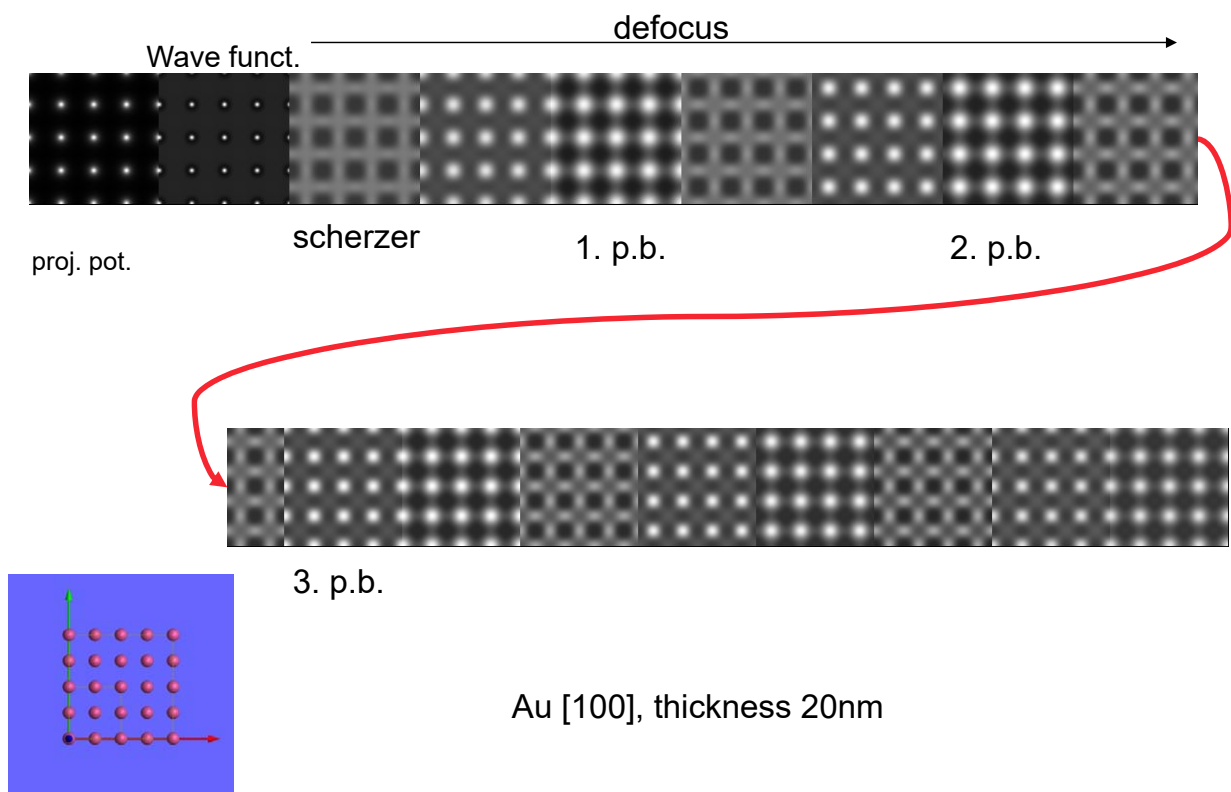
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Defocus



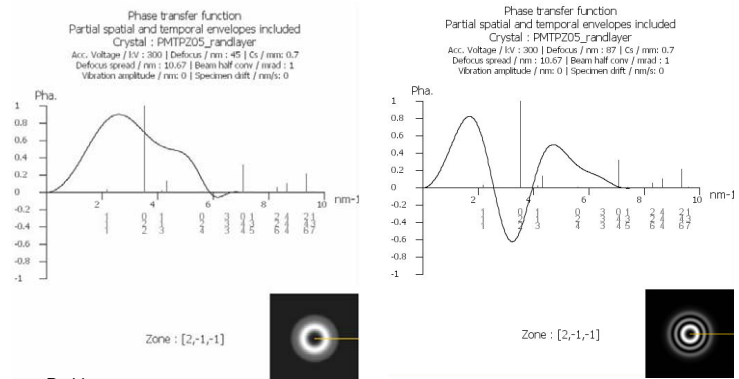
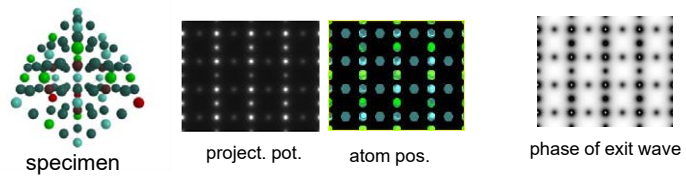
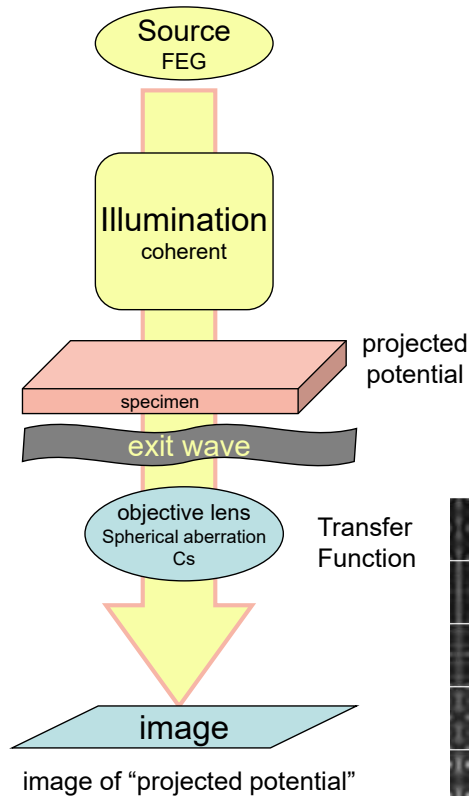
2022

Experimental Methods in Physics

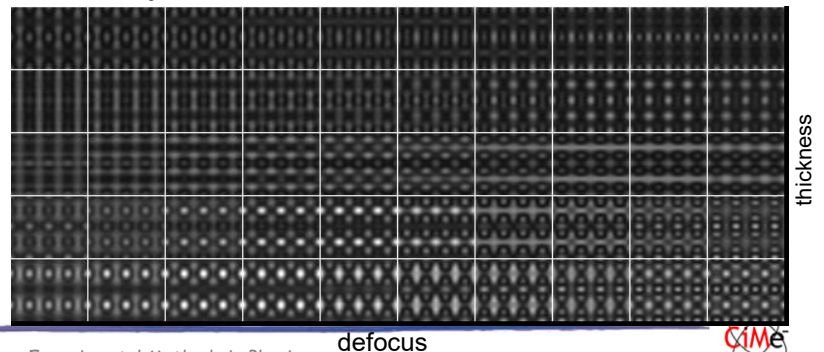
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HRTEM image formation



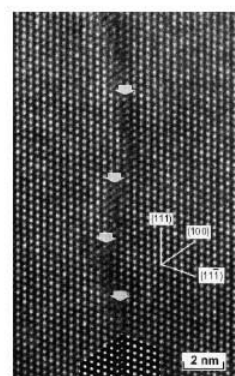
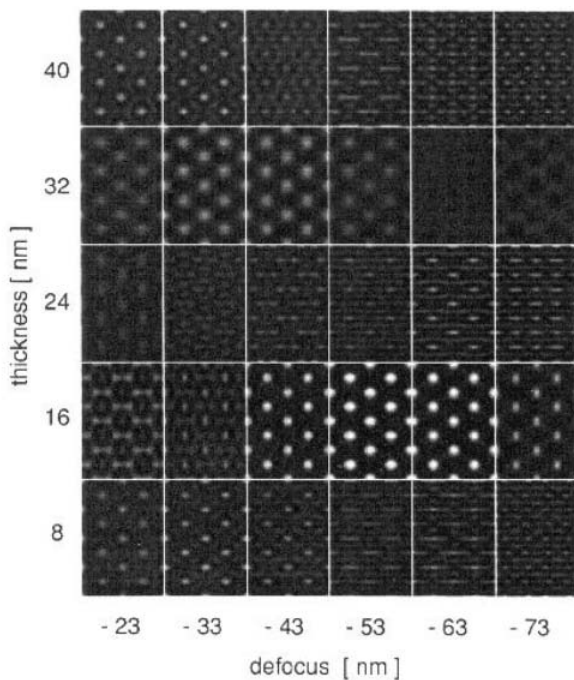
Problems:
defocusing for contrast: delocalization of information, information limit not used



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Experimental Methods in Physics

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What do you notice ?

Can you figure out the underlying explanation?

Thickness-defocus map in Fe₃Al intermetallics
M. Karlik Materials structure, 8 (2001),3

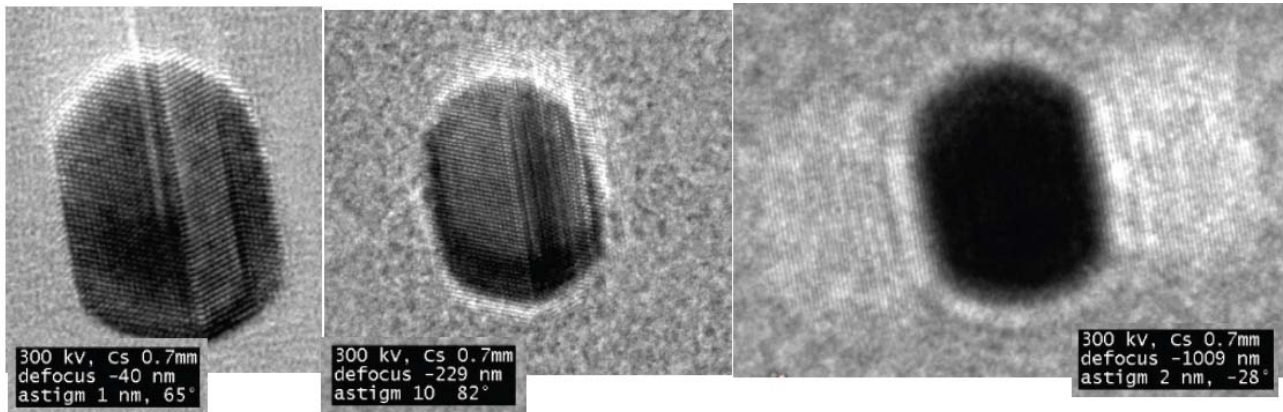
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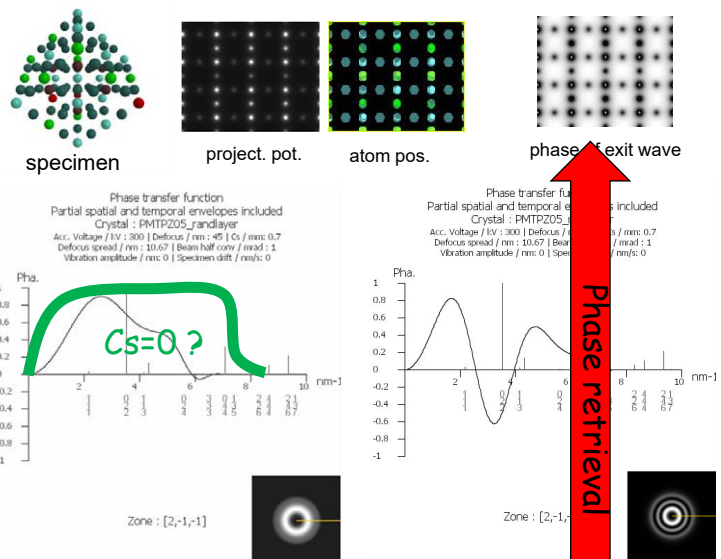
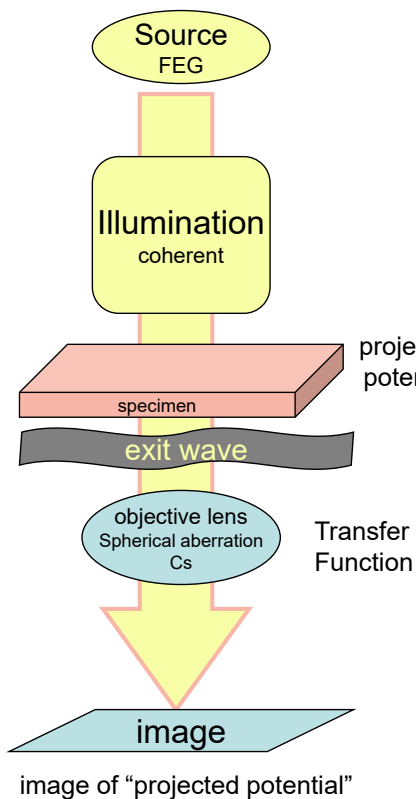


"delocalisation"

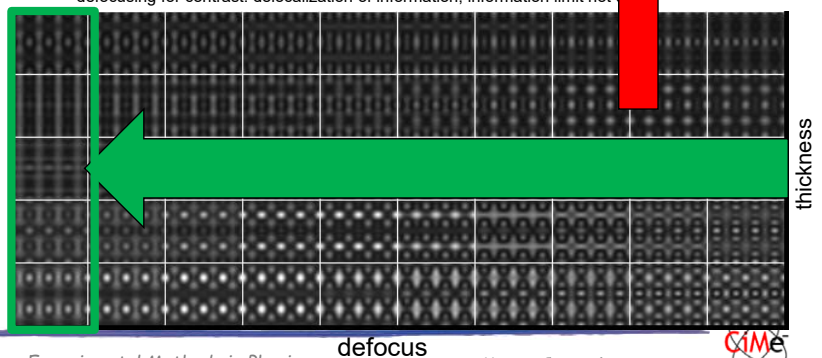


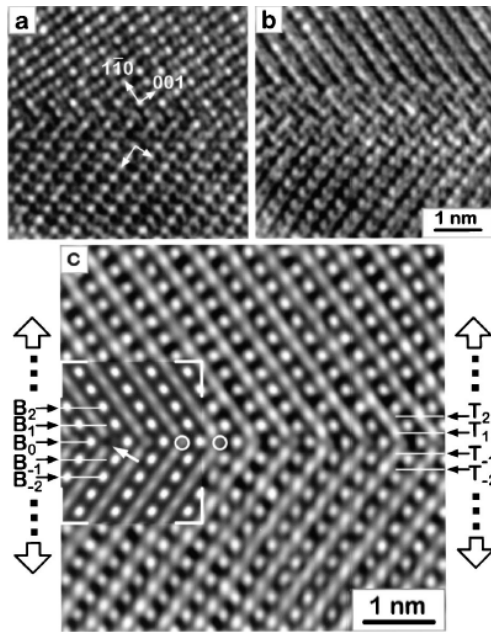
Au nanoparticle on amorphous carbon. Various defocalisation

HRTEM image formation



Problems:
defocusing for contrast: delocalization of information, information limit not



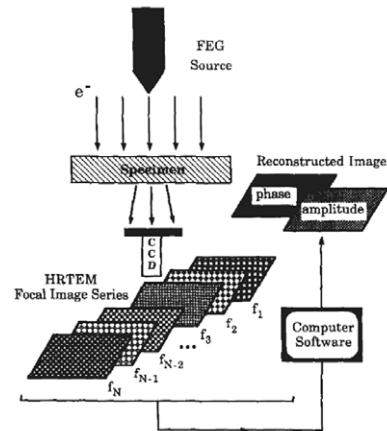


reconstructed phase of {111} twin boundary in perovskite BaTiO₃

<110> zone axis. Phase of EPW reconstructed from series of 20 images with different defocus values. The inset is a simulation for a specimen thickness of 2.8 nm. Circles denote oxygen columns located in the boundary plane. {111} Ti planes are labeled with "T" and {111} Ba-O planes with "B".

C. L. Jia and A. Thust
PHYSICAL REVIEW LETTERS 82, 25

Iterative Wave Form Reconstruction: IWFR
FEI: TruImage



A. Thust, M.H.F. Overwijk, W.M.J. Coene, M. Lentzen
Ultramicroscopy 64 (1996) 249-264

L.J. Allen, W. McBride, N.L. O'Leary, M.P. Oxley
Ultramicroscopy 100 (2004) 91-104

correctors

Astigmatisme

Optique: correction avec une lentille cylindrique

EM: correction avec un stigmatteur: quadrupôle

Image d'un point = ligne

Deux quadrupôles montés à 45° permettent d'ajuster la force et la direction de la correction

Aberration sphérique

Optique: utilisation de lentilles avec des surfaces non-sphériques

EM: combinaison de quadrupôles et d'octopôles

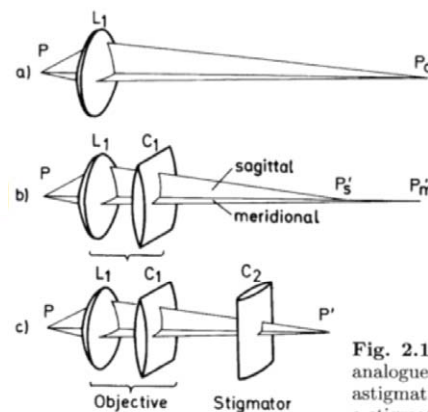


Fig. 2.19a-c. Light-optical analogue of the action of astigmatism ($L1 + C1$) and a stigmator $C2$ [2.30]

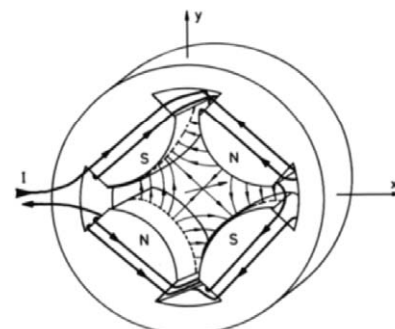
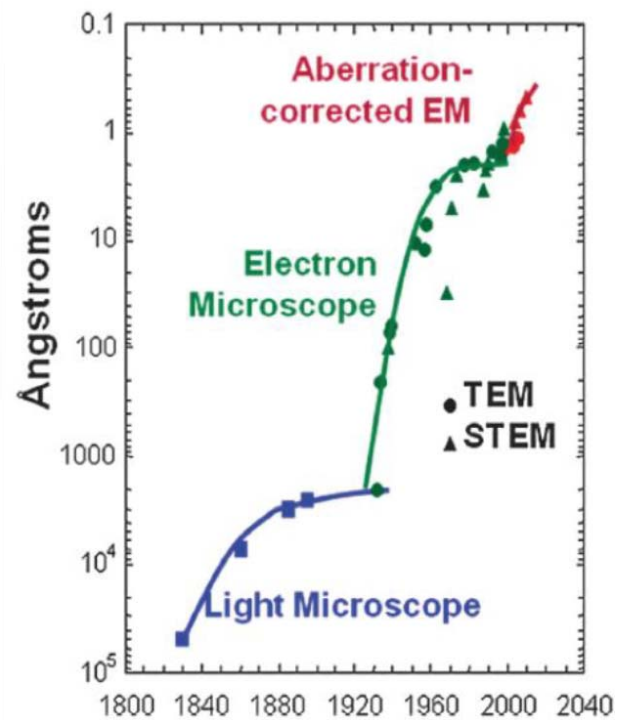
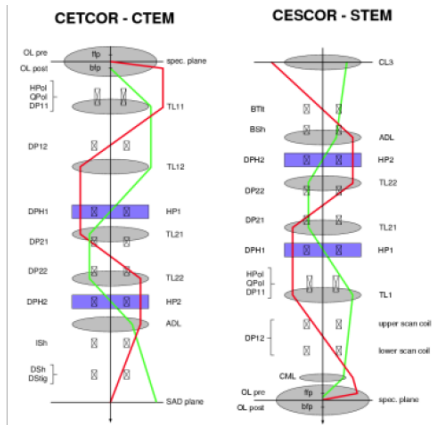


Fig. 2.12. Construction of a quadrupole lens

Aberration Correction



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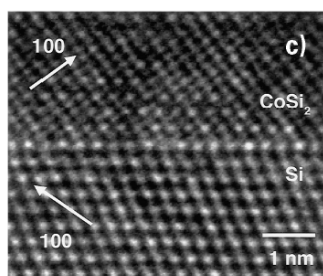
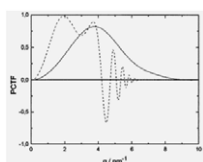
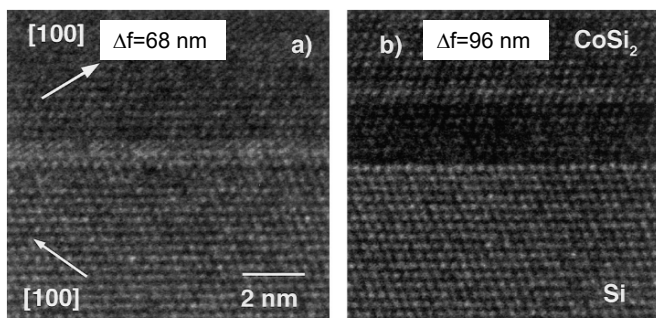
Marco Cantoni



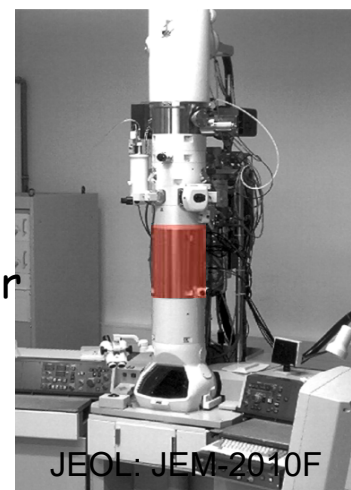
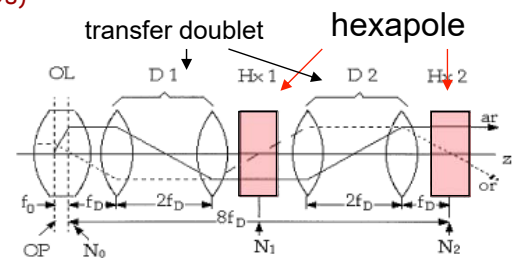
the aberration-corrected transmission electron microscope

(Rose, 1990; Haider et al., 1998)

**Maximilian Haider, Stephan Uhlemann,
Eugen Schwan, Harald Rose, Bernd Kabius, Knut Urban**
NATURE, VOL 392, 1998



• Cs-corrector



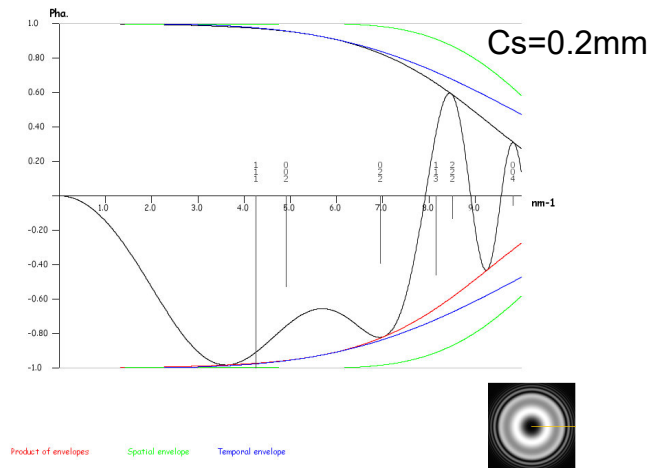
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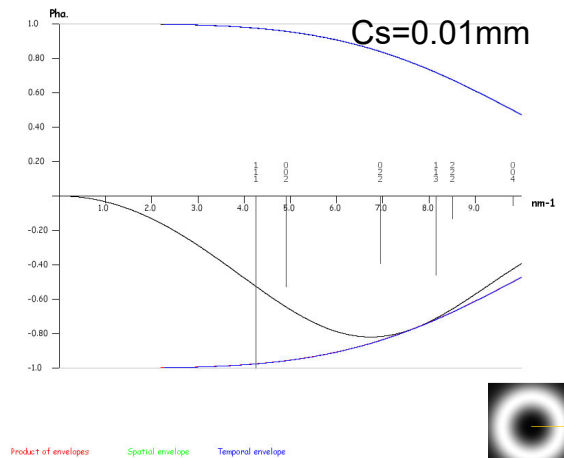
Marco Cantoni



Super microscopes.....



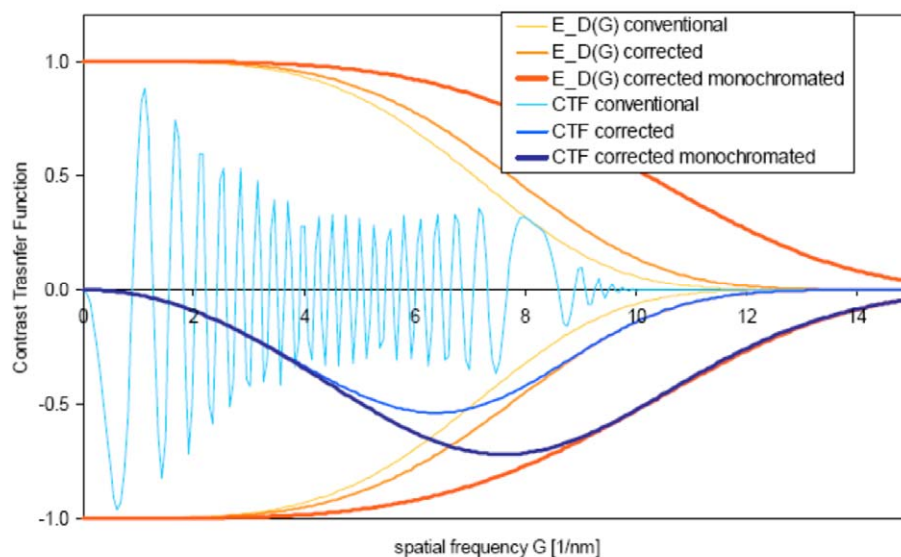
Dilemma: Cs=0mm: no more contrast for low space frequencies....



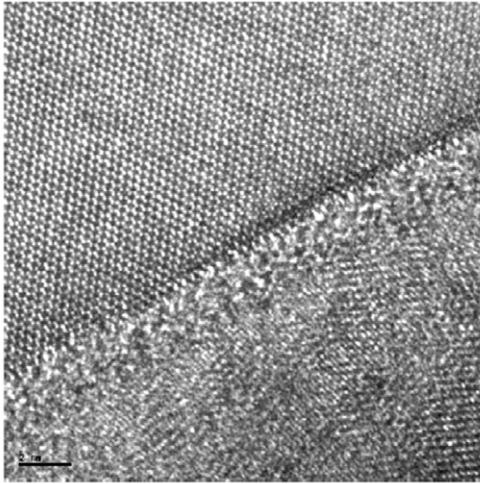
Cs correctors 2008: FEI Titan

Breaking the spherical and chromatic aberration barrier in transmission electron microscopy

B. Freitag, S. Kujawa, P.M. Mul, J. Ringnalda*, P.C. Tiemeijer

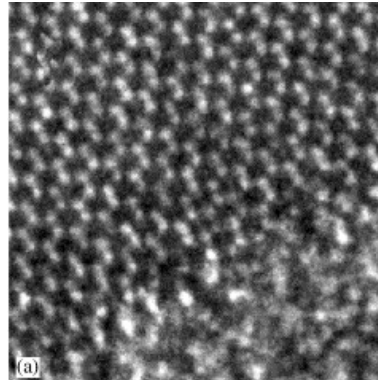


Sharp interfaces



No delocalisation at interfaces anymore

Cs corrector off



Cs corrector on

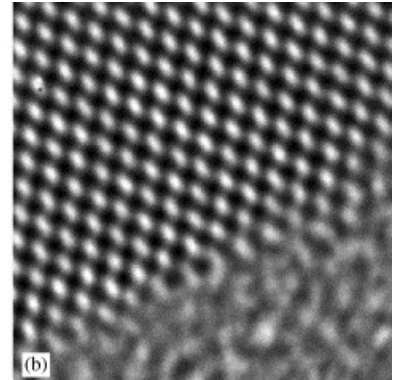


Fig. 3. TEM image of a cross section of a MOSFET transistor showing the amorphous gate oxide layer between crystalline silicon and polycrystalline silicon, recorded with monochromator on and Cs corrector on. The image was taken with 0.1 nA beam current on the CCD, 1 s exposure time, and 2 mrad half convergence angle.

Gold crystal

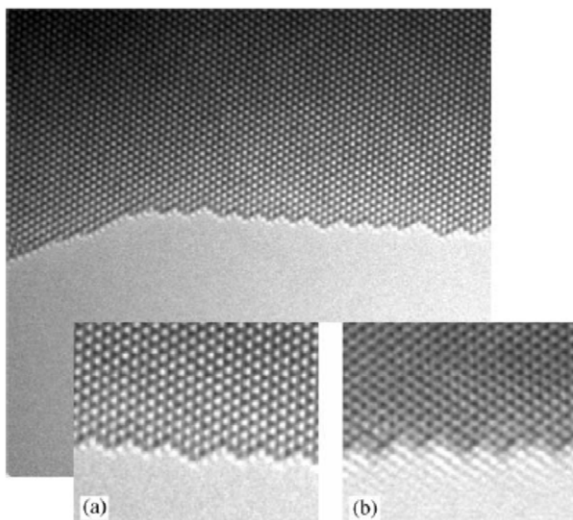
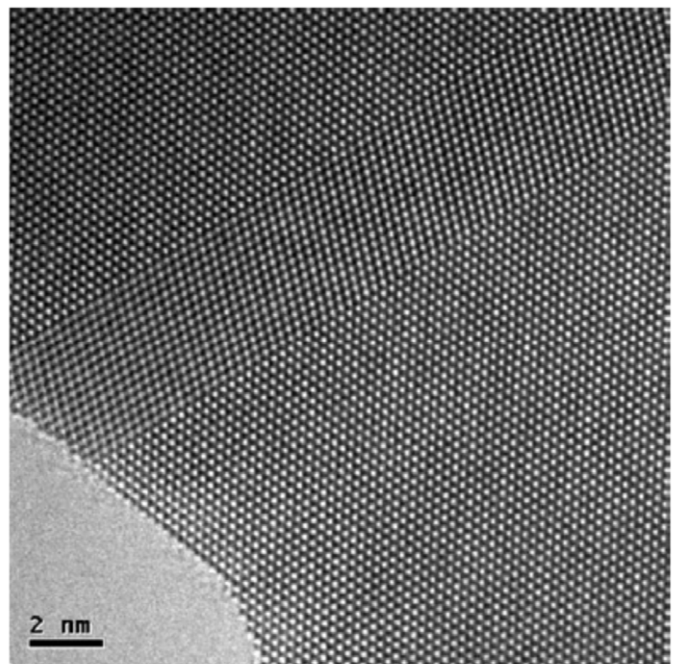


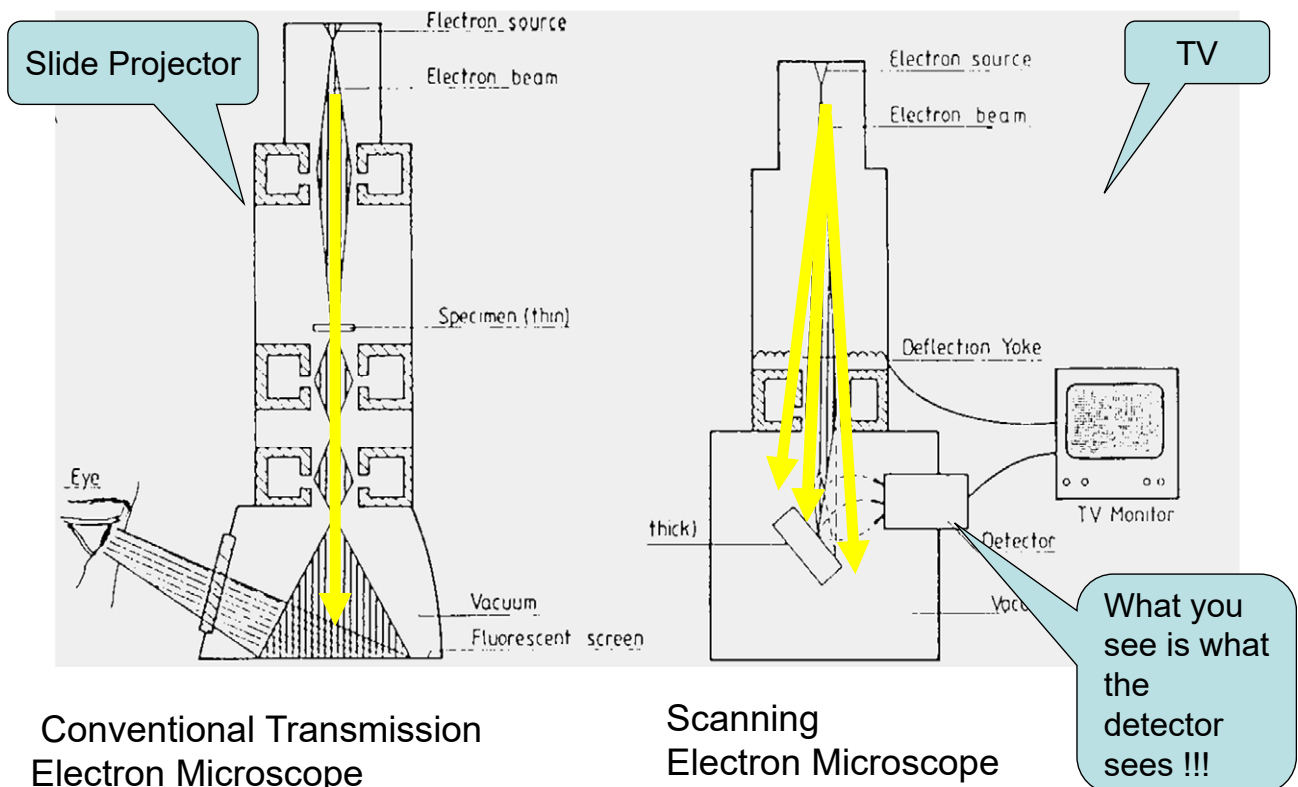
Fig. 5. Images of gold crystal recorded with monochromator on and Cs corrector on, with insets illustrating the difference between Cs corrector on and Cs corrector off: (a) The edge is clearly imaged, without fresnel fringes or delocalization effects. (b) The image without Cs correction, illustrating the difficulty of directly interpreting an image from a conventional field emission gun system.



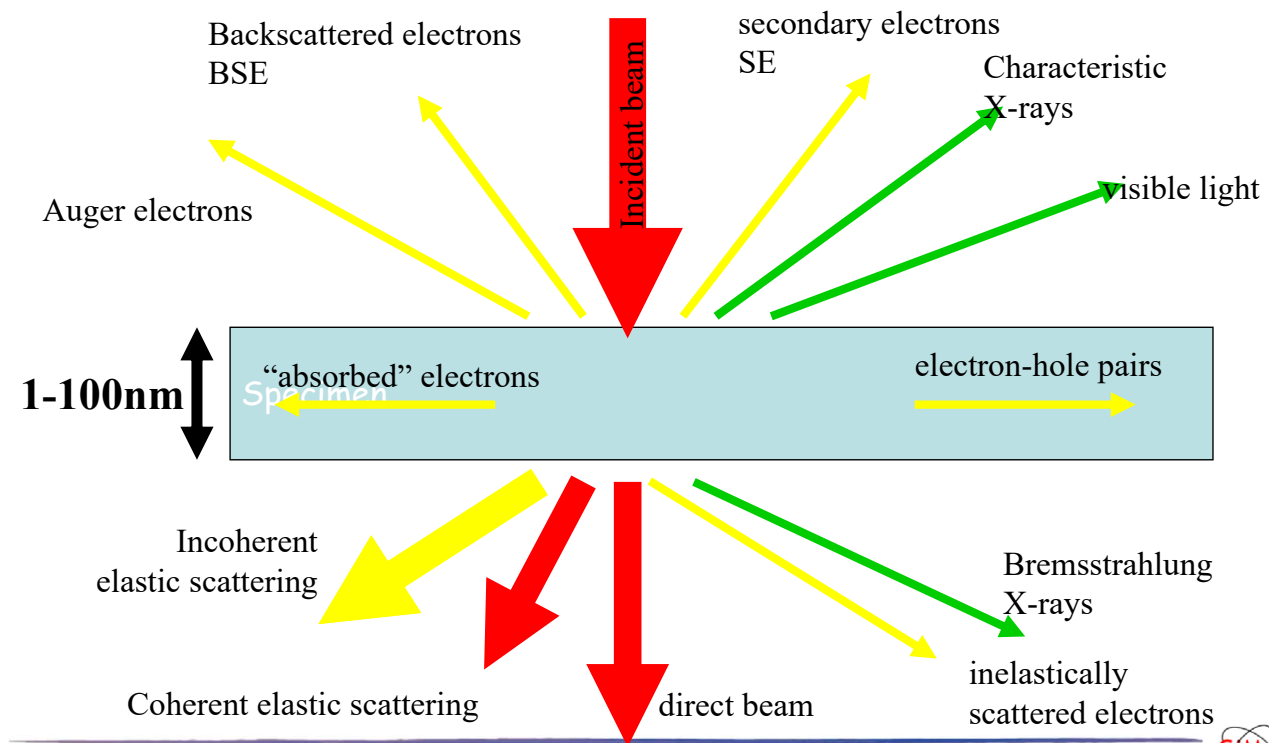
5. SCANNING Transmission Electron Microscopy

STEM
High-Resolution STEM
HAADF

b) CTEM/SEM principles



TEM-SEM interaction of electrons with the sample



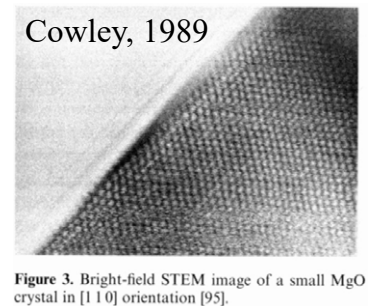
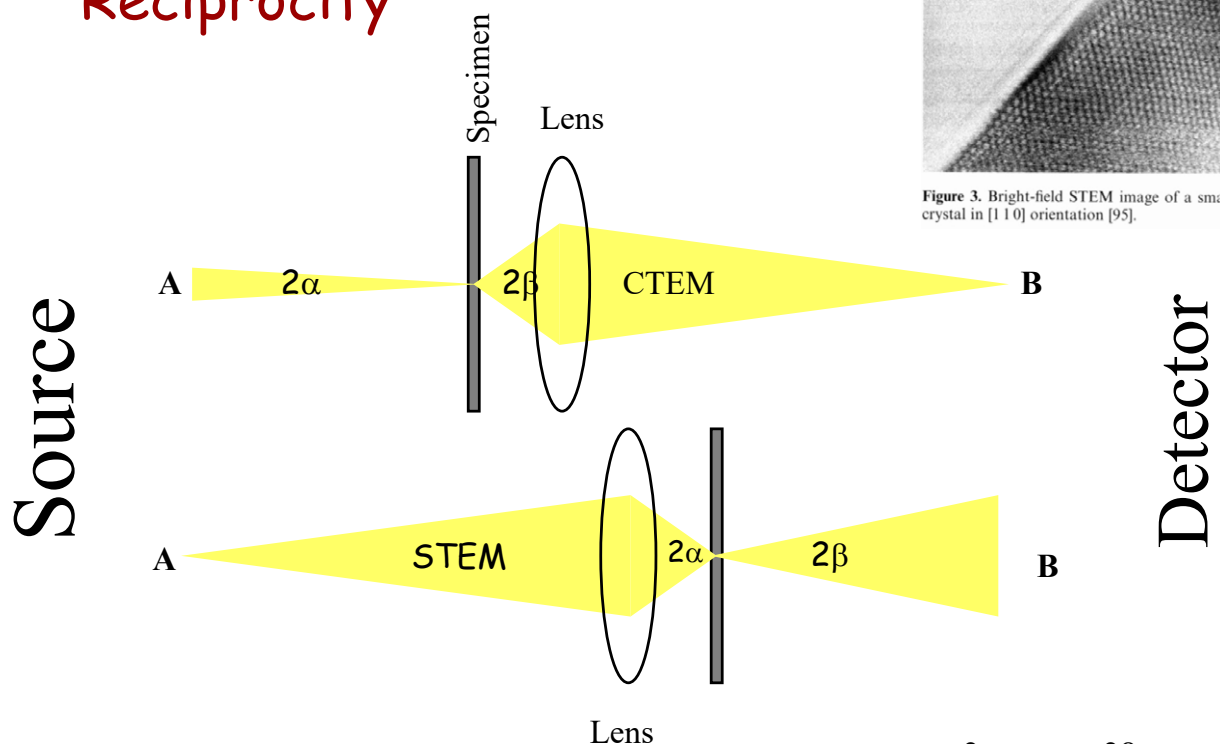
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Reciprocity



Cowley (1969): for the same lenses, apertures and system dimension the image contrast must be the same for CTEM and STEM

$$2\alpha_{\text{STEM}} = 2\beta_{\text{CTEM}}$$

$$2\beta_{\text{STEM}} = 2\alpha_{\text{CTEM}}$$

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Microscope is operated in diffraction mode

Diffraction pattern = stationary pattern

BF/DF detectors

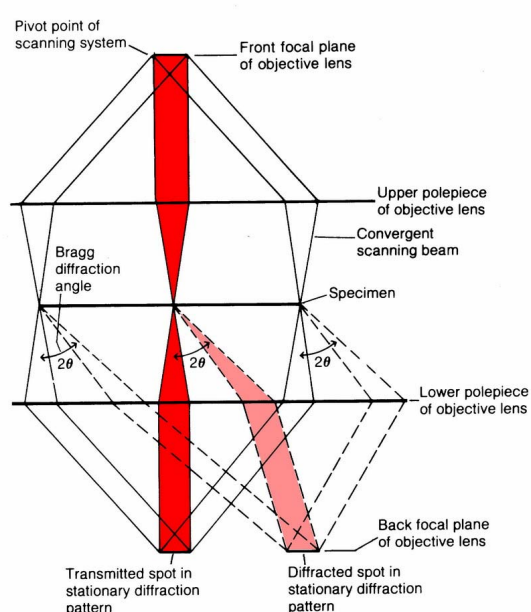


Figure 1.7. Stationary diffraction pattern formation in STEM.

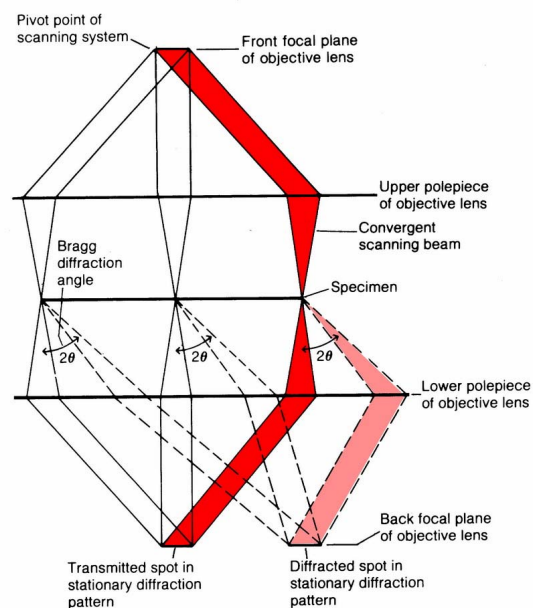
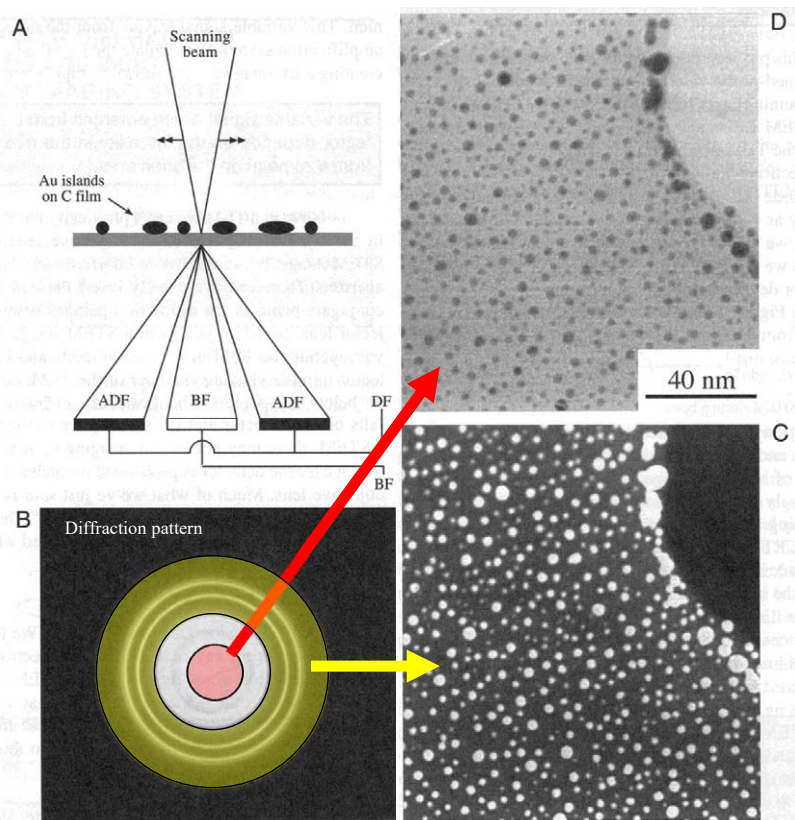


Figure 1.7. Stationary diffraction pattern formation in STEM.

Au particles on a C film



Microscope is in diffraction mode
Detectors placed relative to diffraction pattern

STEM BF:

Detection of transmitted electrons:

contrast similar to

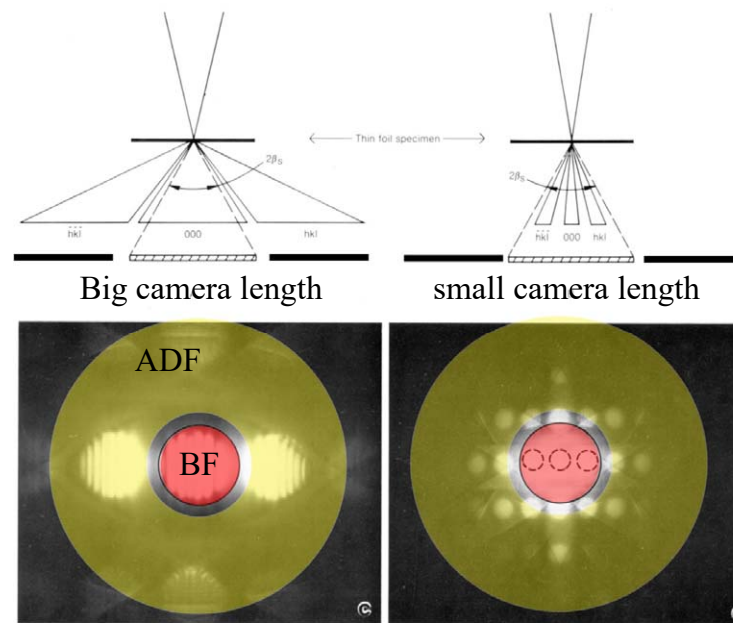
CTEM BF image (objective aperture selects only transmitted electrons)

STEM ADF:

Detection of diffracted electrons on the annular DF detector:

(integration of multiple CTEM DF images)

Bright field/ AnnularDark field detector influence of camera length and convergence angle



The selected camera-length (magnification of the diffraction pattern) determines what the detectors “sees”

Bright field TEM <-> STEM

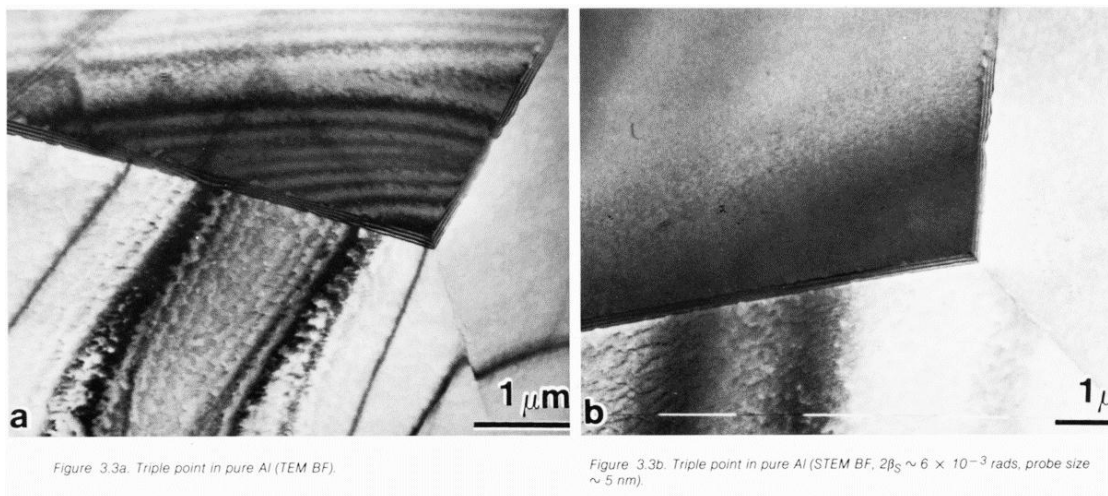
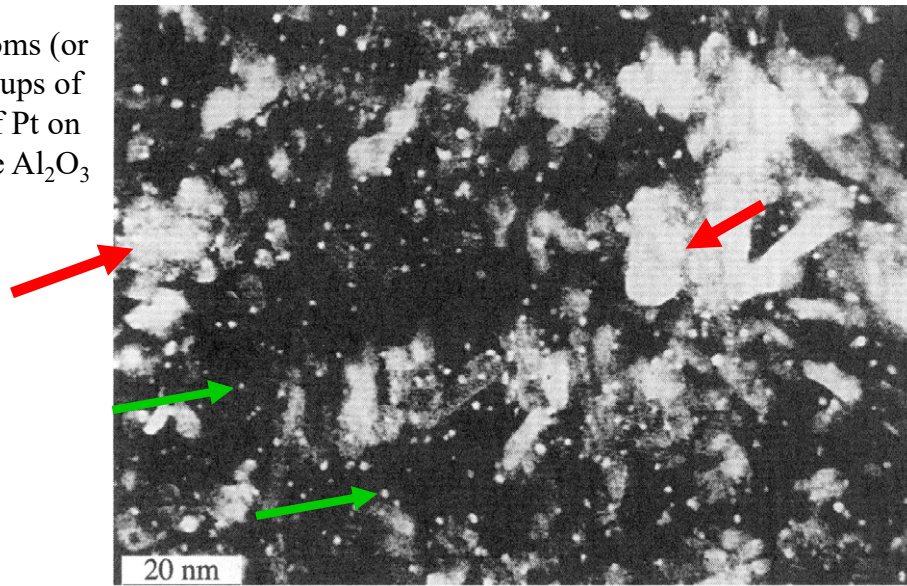


Figure 3.3a. Triple point in pure Al (TEM BF).

Figure 3.3b. Triple point in pure Al (STEM BF, $2\beta_s \sim 6 \times 10^{-3} \text{ rads}$, probe size $\sim 5 \text{ nm}$).

ADF STEM

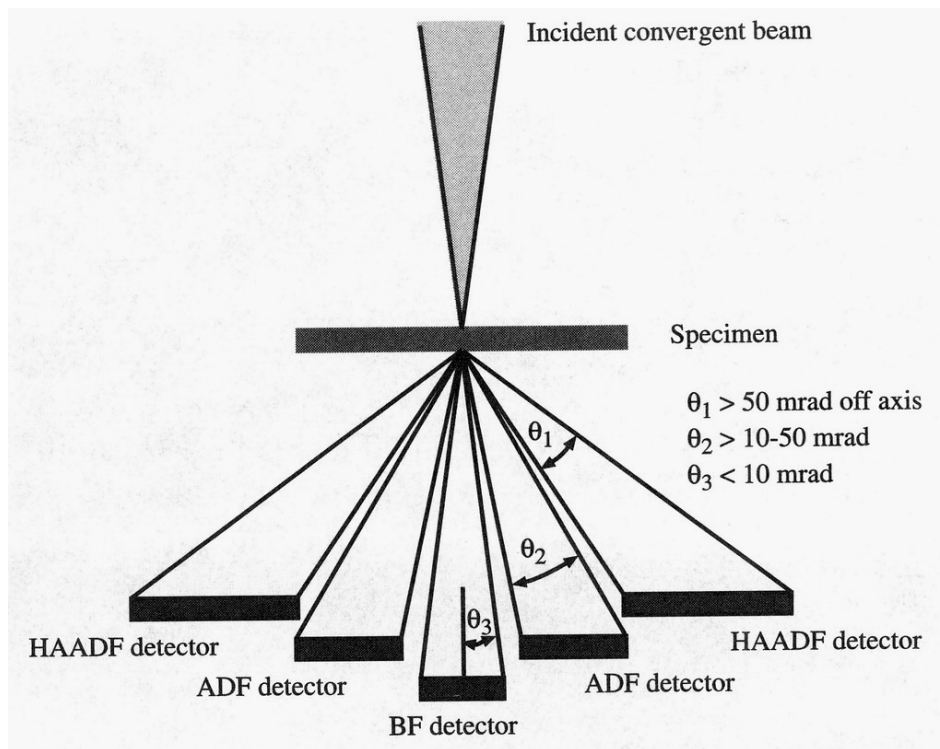
Single atoms (or small groups of atoms) of Pt on crystalline Al_2O_3



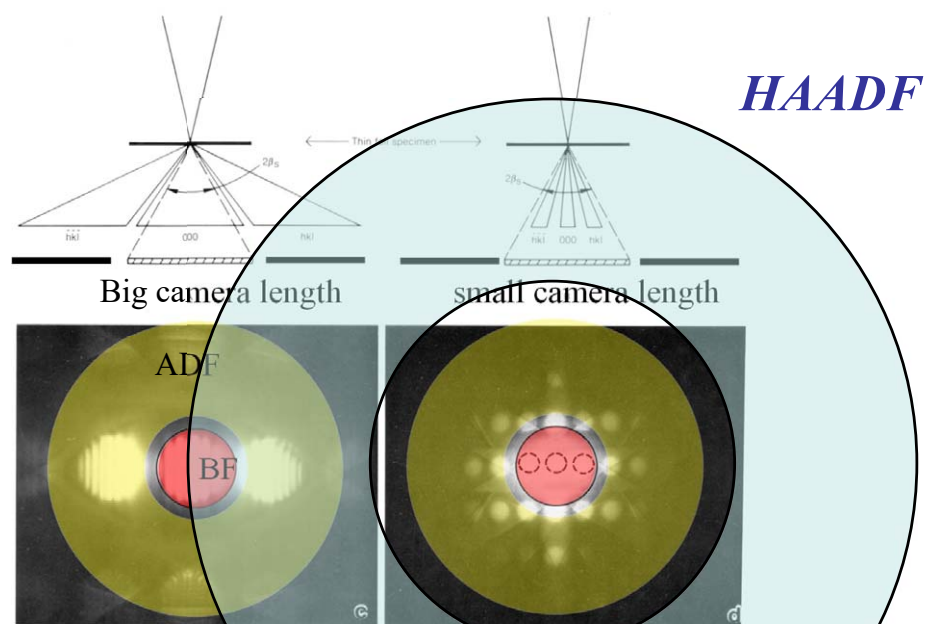
- The ADF image provides a signal which depends strongly on the bragg scattering (Al_2O_3). ***DIFFRACTION CONTRAST***
- **Single atoms scatter electrons incoherently to higher angles**
~ “***z-contrast***”

c) High Angle Annular Dark Field z-contrast (atomic resolution)

- Ultramicroscopy 30 (1989) 58-69
- North-Holland, Amsterdam
- **Z-CONTRAST STEM FOR MATERIALS SCIENCE**
- S.J. PENNYCOOK
- Ultramicroscopy 37 (1991) 14-38;
- North-Holland
- **High-resolution Z-contrast imaging of crystals**
- S.J. Pennycook and D.E. Jesson



High Angle Annular Dark field detector



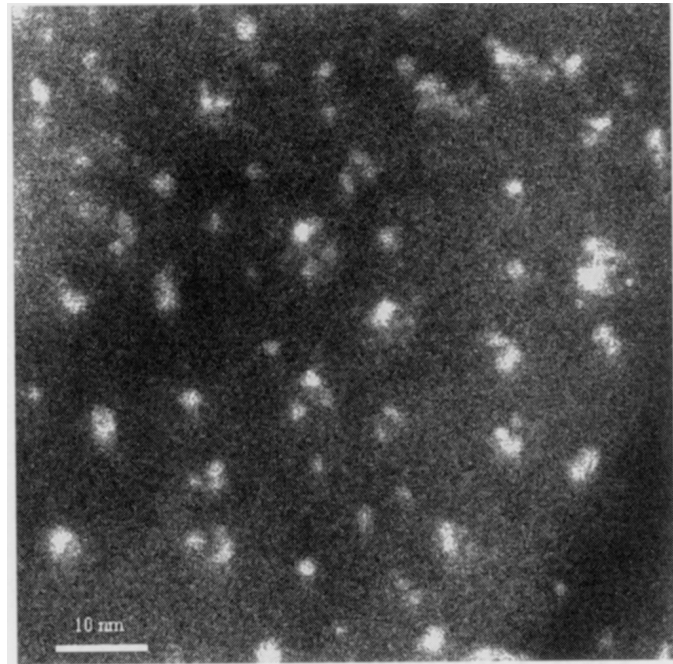
High angle incoherent scattering

- The annular DF detector is placed beyond the **bragg-scattered** electrons...
- Small camera length and large diameter of the detectors inner diameter

The image is formed by
high angle incoherently
scattered electrons
-> Rutherford scattering at
the nucleus of the atoms

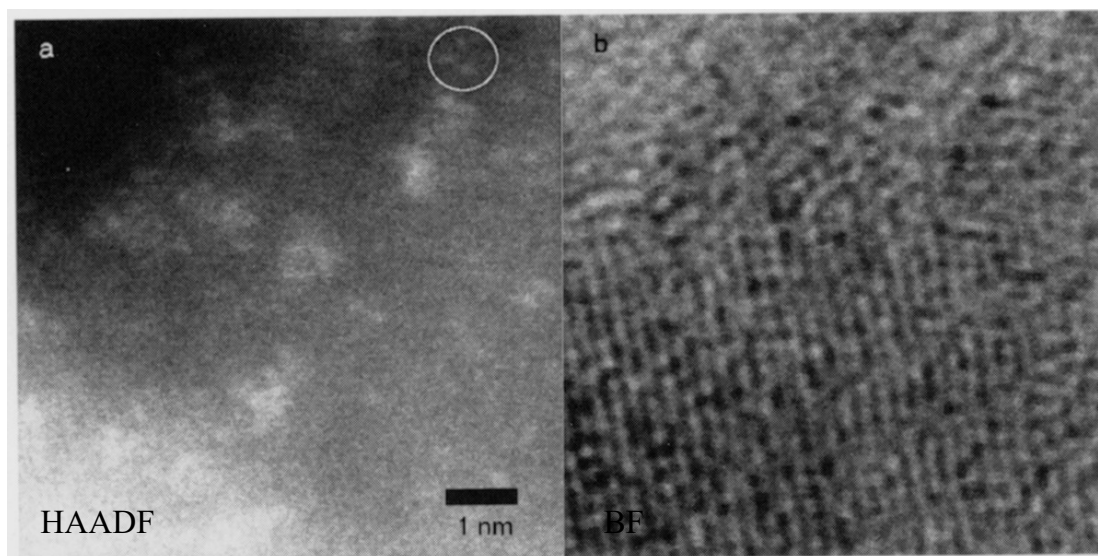
$$\sigma \sim Z^2$$

Z-Contrast



Si nano-crystals in SiO₂ formed by implantation

HAADF <-> HRTEM



- Pt catalyst on Al₂O₃
- Pt particles become visible in the HAADF image

HRTEM $\leftrightarrow$ STEM HAADF

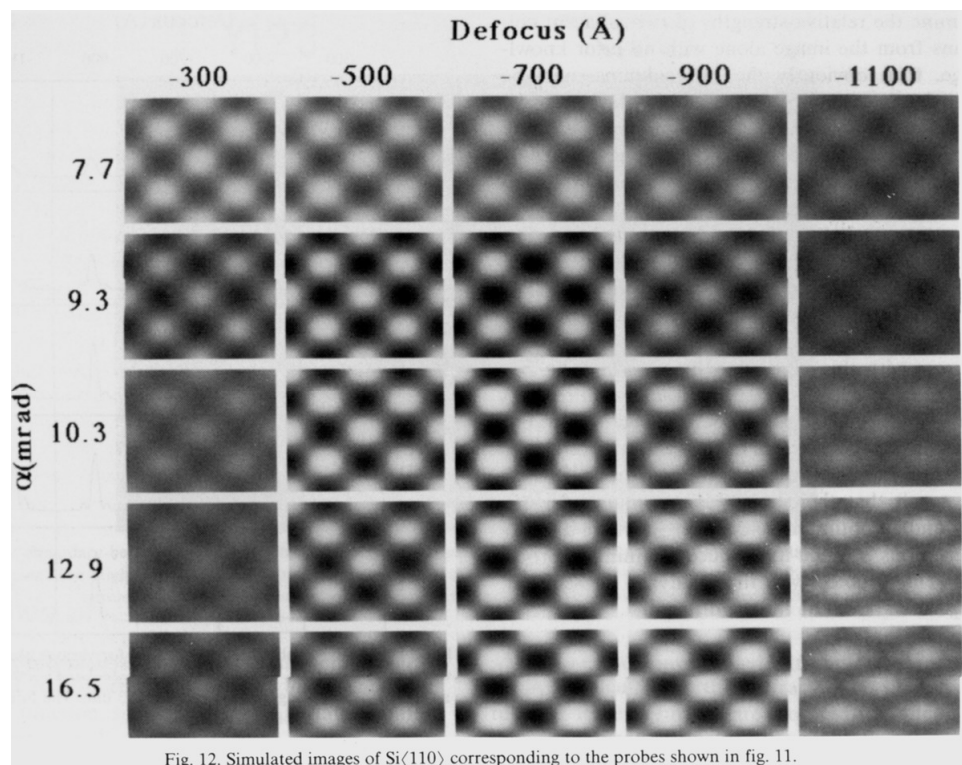
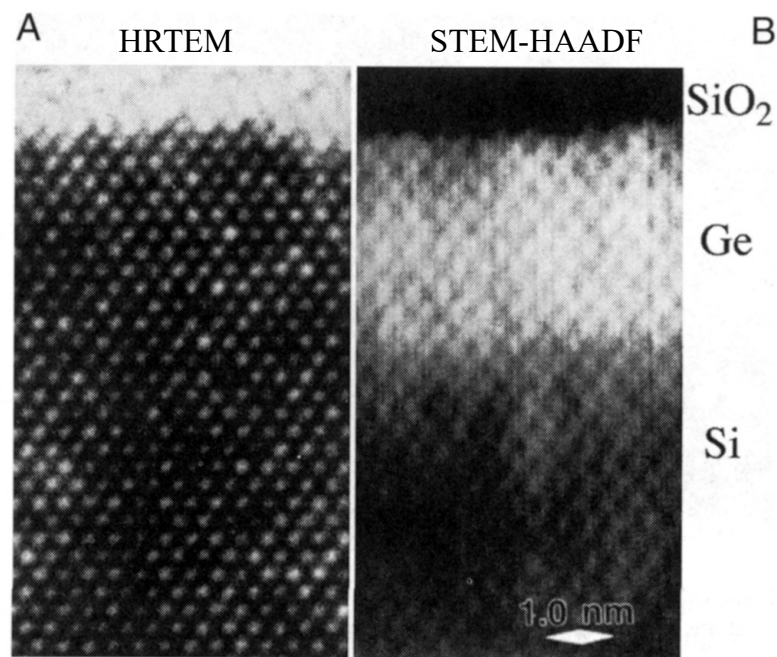


Fig. 12. Simulated images of Si(110) corresponding to the probes shown in fig. 11.

No contrast reversal as in CTEM HRTEM images !!!!
no repeated contrast patterns at different defocus settings

HAADF-STEM study of β' -type precipitates in an over-aged Al-Mg-Si-Ag alloy

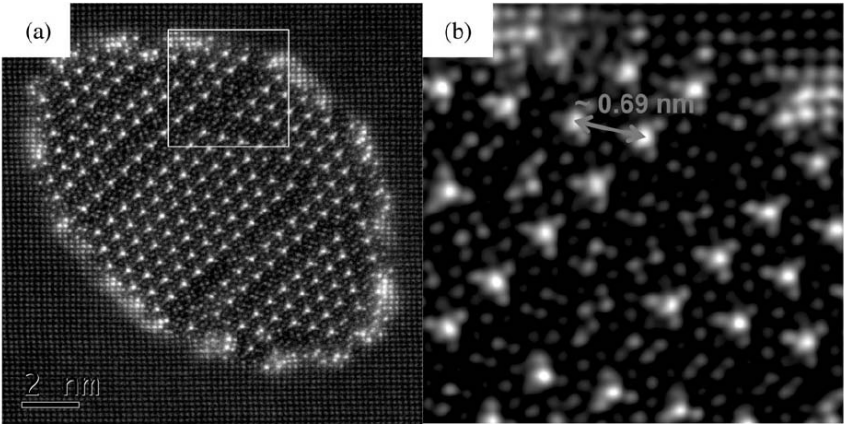
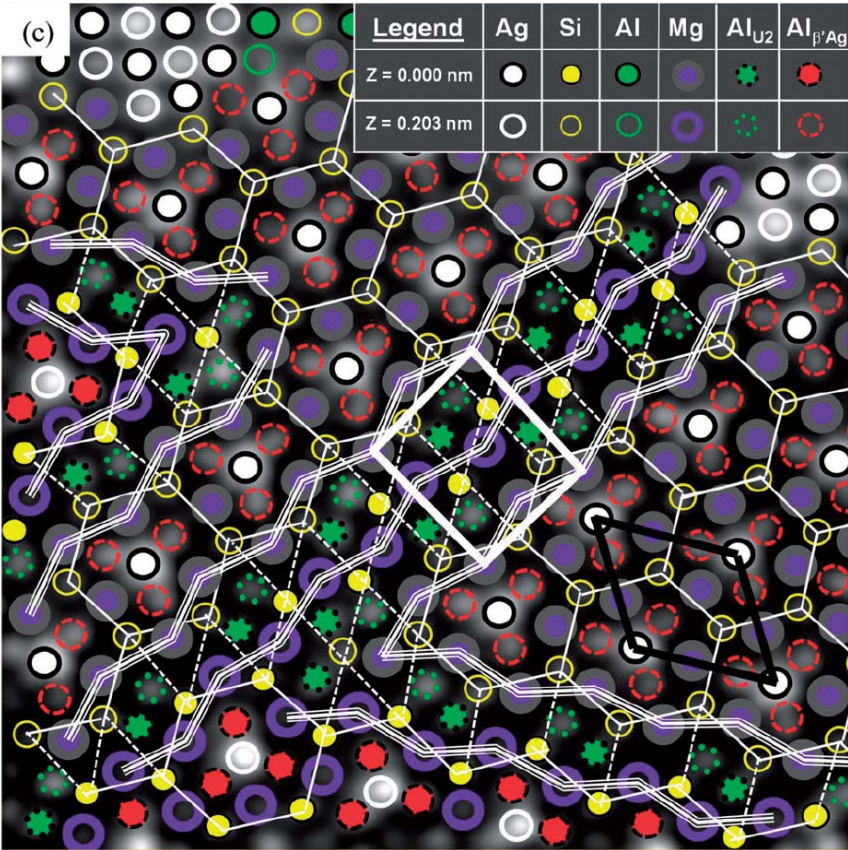
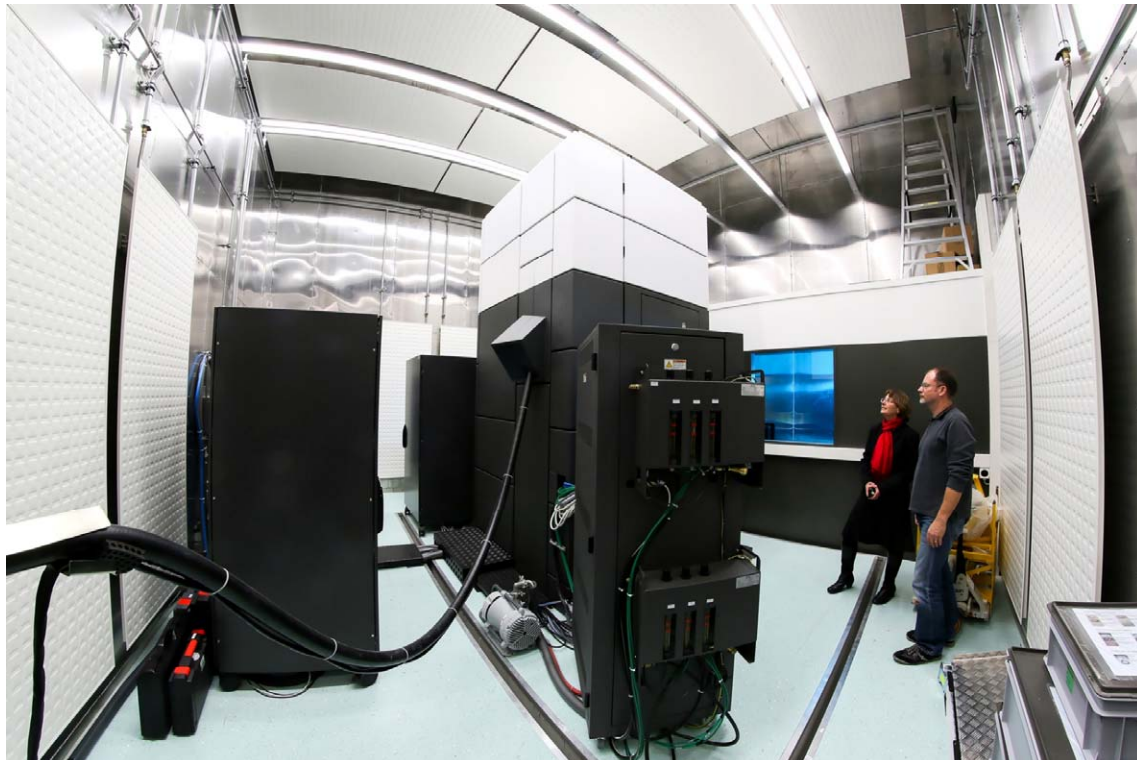


Figure 2. (a) Unprocessed high-angle annular dark field scanning transmission electron microscopy image of a typical precipitate rod-section, consisting of ordered β'_{Ag} domains separated by anti-phase resembling boundaries. The white box delimits an area shown enlarged in (b). (b) Noise in the enlarged image was filtered by applying a circular band pass mask that removed all distances shorter than 0.12 nm. Periodicity in the hexagonal plane of the β'_{Ag} phase is indicated. (c) Atomic overlay on the delimited area that was further enlarged. The unit cells of β'_{Ag} and $U2_{Ag}$ are overlaid using dark and white thick lines respectively; the Si columns belonging to a β'_{Ag} domain are connected by full thin white lines. Across the boundaries they are connected by thin dashed white lines; Mg atomic columns delimiting the domains while being part of the boundaries are connected by thick triple white lines. The Al atomic columns corresponding to Ag-free $U2$ are shown as circles with dotted lines, and the Al atomic columns in the model of β'_{Ag} from Table 1 are shown as circles with dashed lines.



October 2014: Titan THEMIS @CIME



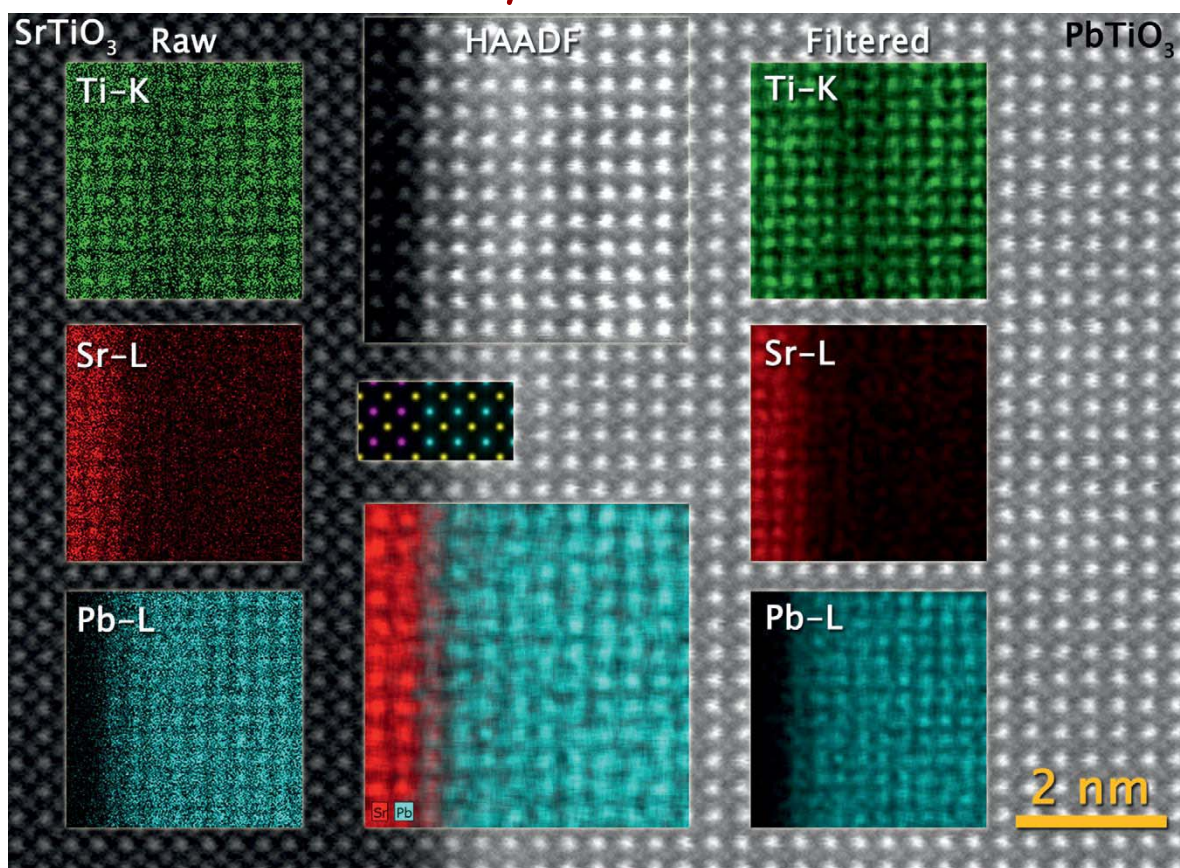
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Chemical analysis on atom columns



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EPFL Titan THEMIS 7.11.2014

